

# Maxwell J. Black

# **Associate**

Boston +1.617.951.9156

Max.Black@klgates.com

#### **OVERVIEW**

Maxwell Black is an associate in the firm's Boston office. He is a member of the Asset Management and Investment Funds area.

As part of his practice, Maxwell works on registered and private fund offerings, representing both buy- and sell-side clients. He also works with attorneys to develop a suite of comprehensive compliance guidelines and practical advice, with a special focus on money market funds and the Investment Advisers Act of 1940.

In addition, Maxwell has collaborated with attorneys across offices and practice groups to develop a firm-wide policy with respect to new and upcoming digital asset regulations and existing enforcement actions.

#### PROFESSIONAL BACKGROUND

Prior to joining the firm Maxwell performed research with a professor at a top 5 business school, assisted the legal department of a Russell 1000 e-commerce company, and clerked with an employment litigator. Before coming on as an Associate, Maxwell served as a summer intern for the firm. Through this role, he assisted partners and associates with insurance litigation, specifically regarding captive insurance issues. He also reviewed and amended supporting documentation for various mutual funds including annual reports, semi-annual reports, statements of additional information, and declarations of trust.

## **EDUCATION**

- J.D., Boston College Law School, 2022
- A.B., Harvard University, 2018

#### ADMISSIONS

Bar of Massachusetts

# **K&L GATES**

# THOUGHT LEADERSHIP POWERED BY HUB

- 9 May 2024, CFTC Releases Artificial Intelligence Report
- 17 August 2023, A Deep Dive into Money Market Fund Liquidity Fees
- 16 August 2023, A New Frontier: The SEC Addresses Artificial Intelligence (and a Whole Lot More)
- 17 July 2023, Out With the Old, in With the New-SEC Scraps Swing Pricing Proposal, Removes Redemption Gates, and Mandates Liquidity Fees for Money Market Funds
- 12 July 2023, SEC Passes New Money Market Fund Rules: Swing Pricing is Out and Mandatory Liquidity Fees Are In
- 7 June 2023, SEC's Stunning Enforcement Actions Against Binance and Coinbase
- 13 March 2023, CFTC Chair Asserts Jurisdiction Over Fiat-Based Stablecoins
- 11 January 2023, DeFi Regulatory Alert: CFTC Files its First Ever Oracle Manipulation Case
- 20 December 2022, CFTC Ordered to Serve Former Founders in Ooki DAO Case

# **OTHER PUBLICATIONS**

"How SEC Money Market Fund Reform Diverges From Proposal," Law360, 7 August 2023

# **AREAS OF FOCUS**

Asset Management and Investment Funds