



## Lisa Lautier

### Partner

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## OVERVIEW

Lisa is a partner in the Asset Management and Investment Funds practice area in Sydney. She has extensive experience advising on investment funds and financial services regulation.

Lisa is a trusted legal adviser who is passionate about providing practical advice with exceptional client service. She enjoys assisting local and offshore clients navigate the complexity of the Australian regulatory regime.

Lisa provides specialist advice on:

- fund formation across a range of asset classes including equities, fixed income, private credit, and direct property;
- quotation and listing of exchange-traded funds (ETFs);
- promotion and disclosure obligations for managed investment schemes (registered and unregistered);
- outsourced trustee arrangements, fund operation, and service agreements related to investment management, custody, and administration;
- transactional matters involving change of trustee or responsible entity; and
- financial services regulation in relation to Australian financial services licensing, design and distribution obligations, ESG regulation, ASIC relief, and breach reporting requirements

## PROFESSIONAL BACKGROUND

Prior to joining the firm, Lisa was a partner in the corporate and commercial team at one of the largest legal partnerships in Australia. During her career, she has completed various secondments with well-recognised asset managers in Australia. She also spent four years in London where she worked for a listed Australian fund manager advising on various acquisitions, divestments, and regulatory matters.

## EDUCATION

- B.A., Macquarie University, 2005

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- LL.B., Macquarie University, 2005

## ADMISSIONS

- High Court of Australia
- Supreme Court of England and Wales
- Supreme Court of New South Wales

## THOUGHT LEADERSHIP *POWERED BY HUB*

- 24 April 2024, April 2024 ESG Policy Update—Australia
- April 2024 , Global Survey of ESG Regulations for Asset Managers
- 12 April 2024, Australia: Federal Court Rules on Greenwashing Civil Penalty Action
- 28 March 2024, March 2024 ESG Policy Update—Australia
- 28 March 2024, Update to the Naming Convention for Exchange Traded Products
- 26 March 2024, Australia: Labelling Responsible Investment Products
- 21 March 2024, Australia: ASIC Issues New Legislative Instrument for Exchange Traded Funds
- 27 February 2024, February 2024 ESG Policy Update—Australia
- 6 February 2024, Australia: Climate-Related Financial Disclosure: Exposure Draft Legislation
- 21 December 2023, December 2023 ESG Policy Update—Australia
- 4 December 2023, Australia: ASIC to Focus on Net Zero Statements and Targets
- 29 November 2023, Australia: ASIC is Remaking Exchange Traded Funds Class Order Relief
- 22 November 2023, November 2023 ESG Policy Update—Australia
- 30 October 2023, Australia: Mandatory Climate Reporting—The Draft Australian Standards Are Here
- 27 October 2023, ESG Policy Update—Australia
- 19 October 2023, Australia: ASIC Extends Transitional Relief For Foreign Financial Service Providers
- 19 September 2023, ESG Policy Update—Australia
- 24 April 2023, Australia: Ongoing Regulatory Requirements for Issuers Under the Trans-Tasman Mutual Recognition Scheme

## OTHER PUBLICATIONS

- Partner reflections after advising on 'dual-access' ETF structure, *Lawyers Weekly*, December 2023

## NEWS & EVENTS

- 20 November 2023, K&L Gates Advises Dimensional Fund Advisors on Launch of First ETFs on ASX
- 7 February - 16 February 2023, 2023 CPD Program—Australia
- 7 December 2022, K&L Gates Strengthens Corporate and Asset Management and Investment Funds Teams With Appointment of Three Partners

## AREAS OF FOCUS

- Asset Management and Investment Funds
- Exchange-Traded Products
- Global Distribution
- Global Financial Services Regulation
- Investment Advisers
- Investment Funds
- Real Estate Funds