



## Bradley D. Bostwick

### Associate

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## OVERVIEW

Bradley Bostwick is an associate and is a member of the Asset Management and Investment Funds practice area.

As part of his practice, Bradley represents both registered and private investment funds. For registered funds, Bradley assists clients on registration matters, reorganizations and annual updates including annual reports, Form ADV filings and a host of other items. Bradley also advises private funds on deal structuring, subscription agreements and compliance policies and procedures.

Bradley also focuses his practice on advising clients on derivatives regulations and transactions including ISDAs and MSFTAs. He also assists clients with entering into repurchase agreements, including both tri-party and bilateral repurchase agreements.

In addition, Bradley has worked with attorneys to advise various trade groups on new and proposed SEC rules.

Bradley served as a summer associate prior to joining the firm as an associate. Through this role, Bradley drafted and reviewed equity financing documents, assisted clients with registering exchange-traded funds, and drafted a client alert regarding US Securities Exchange Commission amendments. He also reviewed and updated supporting documents for mutual funds including annual reports, prospectuses and statements of additional information.

Additionally, Bradley gained legal experience through a judicial intern role for the Massachusetts District Court administrative office in Boston. During this time, he researched and drafted legal bulletins guiding judges on Massachusetts judicial court and appellate court rulings, as well as advised judges on everyday questions in both civil and criminal cases.

## PROFESSIONAL BACKGROUND

## EDUCATION

- J.D., Suffolk University Law School, 2023
- B.S., Syracuse University, 2020

## ADMISSIONS

- Bar of Massachusetts

## THOUGHT LEADERSHIP *POWERED BY HUB*

- 15 April 2024, The SEC Limits the Internet Adviser Exemption
- 15 April 2024, Marketing Rule Enforcement Remains Priority: SEC Charges Five Advisers for Marketing Rule Violations
- 12 April 2024, The SEC Fines Stand-Alone Adviser for Off-Channel Communications
- 28 March 2024, The SEC Narrows the Internet Adviser Exemption
- 12 February 2024 , Fifth Circuit Court of Appeals Hears Oral Arguments in Industry Groups' Ongoing Petition to Vacate Private Fund Adviser Rules

## AREAS OF FOCUS

- Asset Management and Investment Funds
- Derivatives