



## Jacob M. Derr

### Associate

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## OVERVIEW

Jacob Derr is an associate in the firm's Washington, D.C. office, where he is a member of the investment management, hedge funds, and alternative investments practice group. Jacob assists registered investment companies and their independent board members with regulatory and compliance matters arising under U.S. federal securities laws.

## PROFESSIONAL BACKGROUND

Prior to joining the firm, Jacob was a member of the William & Mary Appellate and Supreme Court Clinic. He also was a K&L Gates summer associate in 2015.

## PROFESSIONAL / CIVIC ACTIVITIES

- Member, Virginia State Bar
- Volunteer tutor, America Reads Challenge
- Student volunteer, Habitat for Humanity Hampton Roads

## EDUCATION

- J.D., William and Mary School of Law, 2016 (*magna cum laude*; *Order of the Coif*; *Lead Notes Editor, William & Mary Law Review*)
- B.A., Ohio University, 2012 (*summa cum laude*)
- B.S.J., Ohio University, 2012 (*summa cum laude*)

## ADMISSIONS

- Bar of District of Columbia

- Bar of Virginia

## THOUGHT LEADERSHIP POWERED BY HUB

- 2 November 2023, Asset Management and Investment Funds Fall Conferences - Washington, D.C.
- November 2022, Disclosure Modernization Initiative Webinar Series: Overview
- 17 November 2022, SEC Proposes Swing Pricing and a Hard Close for All Mutual Funds
- 17 March 2022, ETF Handbook, Third Edition
- September 2020, Understanding the SEC's Proposed Modernized Fund Shareholder Reports and Disclosures
- 19 August 2020, SEC Proposes Major Changes to Prospectus and Shareholder Report Disclosure Scheme
- 29 May 2020, SEC Staff Shows New Openness to Closed-End Fund Defenses
- 29 April 2020, SEC Proposes New Fair Value Rule 2a-5
- 15 April 2019, SEC Staff Issues Statement on the Review of Rule 485(a) Filings

## OTHER PUBLICATIONS

- "Tailored Shareholder Reports," *The Investment Lawyer*, January 2023
- "Measuring Monopsony: Using the Antitrust Toolbox to Protect Market Competition," 57 *WM. & MARY L. REV.* 299, 2015.
- "Widening the Playing Field: The Comcast-Time Warner Cable Merger and Regulating New Competition," 2015 *CORNELL POL'Y REV.* 59, 2015.

## AREAS OF FOCUS

- Asset Management and Investment Funds
- Derivatives
- Investment Funds
- U.S. Registered Investment Companies