



Andrew J. Massey

Partner

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OVERVIEW

Andrew Massey is a partner in the firm's financial services and investment management practice group.

He provides advice on financial services law and regulation to a diverse range of financial services institutions including investment managers, banks, financial intermediaries and platforms, and institutional investors. His practice encompasses advising on the scope of financial services regulation, and regulatory issues relevant to all aspects of a financial services business and its products and services, with a particular focus on regulated and unregulated investment funds.

Andrew has been ranked as a '*next generation lawyer*' in Legal 500 since 2017.

PROFESSIONAL BACKGROUND

Prior to joining the firm, Andrew was a senior associate in the London office of a European law firm where he worked for several years.

Andrew was seconded to Gartmore Investment Limited between 2009 and 2010 where he advised on legal and compliance issues.

ACHIEVEMENTS

- Recognised by *The Legal 500 United Kingdom* edition as a Next Generation Partner for Retail funds in London, 2018, 2024
- Recognised by *The Legal 500 United Kingdom* edition as a Recommended Lawyer for Financial services: non-contentious/regulatory in London, 2024
- Recognised by *Chambers UK* for Investment Funds, 2014

SPEAKING ENGAGEMENTS

- Global Distribution Update, The Investment Association, April 2021

- K&L Gates Investment Management Conference, London, annually from 2015 to 2018
- C&I Fund Management Seminar, annually from 2006 to 2014
- Aon Risk Solutions Financial Services Conference, London, May 2016
- The Rise of Alternative UCITS, K&L Gates presentation, London, February 2015

EDUCATION

- Legal Practice Course, Oxford Institute of Legal Practice, 2004
- B.A., University of Oxford, 2003 (*Honours*)

ADMISSIONS

- Solicitor of the Senior Courts of England and Wales

THOUGHT LEADERSHIP POWERED BY HUB

- 23 April 2024, Europe: Research Cost Re-Bundling – Is the UK Going Back to the Future?
- 2 February 2024, Europe: UK's Overseas Funds Regime Moves a Step Closer with Confirmation that Most EEA UCITS Will be Deemed Equivalent
- 1 December 2023, Europe: Final SDR Rules Published by FCA—Time to Label Your Funds (Maybe)
- 3 February 2023, Europe: UK Regulator Issues New Recommendations to Firms on Consumer Duty Implementation
- 1 February 2023, Europe: Asset Managers—Are You Ready for Climate-Related Reporting Under UK TCFD?
- 9 May 2022, FCA Consults on Permitting Side Pockets for UK Retail Funds Affected by Conflict in Ukraine
- 9 May 2022, FCA Challenge to UK Fund Service Providers
- 29 March 2022, Europe: Divergence Between UK and EU Priips Disclosures Set to Add Complexity for Managers
- 7 February 2022, Proposed Money Market Fund Reforms Related to Negative Interest Rates Favor Conversion to Floating NAV and Prohibit Other Stabilizing Measures
- 28 April 2021, European Commission Proposes New Corporate Sustainability Reporting Legislation
- 1 October 2019, ESMA Releases Final Report on Liquidity Stress Testing for UCITS and AIFs
- 5 September 2019, New Rules on Cross-Border Distribution of Investment Funds in the EU
- 7 June 2019, Shareholder Rights Directive II and its Implications for Asset Managers

- 23 January 2019, First step in European Commission Review of Alternative Investment Funds Rules
- 22 June 2018, Are Digital Asset Transactions Always Securities Offerings?
- 16 May 2018, Commissioner Peirce Remarks on the Challenges of Cryptocurrency Regulation
- 13 December 2017, Materials from the 2017 London Investment Management Conference
- 11 October 2017, PRIIPs KID – The New Disclosure Document for Manufacturers and Distributors of Funds, Structured Securities and Other Packaged Investment Products
- 27 July 2017, UK Asset Management Study - FCA's Final Report and Package of Remedies
- 21 July 2017, MiFID II Toolkit for Global Investment Managers
- 28 March 2017, European Commission Opens Investigation into Suspected Price Restrictions by Electronic Goods Producers
- 1 December 2015, Preparing for MiFID II: Practical Implications
- 23 October 2015, ESMA Consults on Guidelines on Sound Remuneration Policies under the UCITS V Directive and AIFMD
- 13 October 2015, UCITS V Directive—Overview and Practical Implications

OTHER PUBLICATIONS

- “Aon Risk Solutions Hosts 'Mega Trends' Discussion Panel with Industry Leaders,” *The Risk Universe*, June 2016

NEWS & EVENTS

- 13 November 2023, Asset Management and Investment Funds Fall Conferences - London
- 4 October 2023, K&L Gates Practices, Lawyers Recognized in *The Legal 500 UK* 2024 Edition
- 3 October 2022, 2022 K&L Gates Asset Management and Investment Funds Conference - London
- 30 September 2022, K&L Gates Ranked in 30 Categories in *The Legal 500 UK* 2023 Edition
- 6 October 2021, K&L Gates Ranked in Nearly 30 Categories in the 2022 Legal 500 UK Guide
- 6 October 2021, 2021 Investment Management Conference

AREAS OF FOCUS

- Asset Management and Investment Funds
- Corporate Governance

- European Regulatory
- Financial Services Policy
- Global Distribution
- Global Financial Services Regulation
- Hedge Funds
- Institutional Investors
- Investment Advisers
- Investment Funds
- Portfolio Management, Trading, and Compliance
- UCITS

INDUSTRIES

- Financial Services
- Fintech