



## Nicholas O. Ersoy

### Associate

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## OVERVIEW

Nicholas Ersoy is an associate in the firm's Washington, D.C. office, where he is a member of the investment management, hedge funds, and alternative investments practice group. Nicholas focuses his practice on representing registered investment companies, with an emphasis on exchange-traded funds, and their independent board members on regulatory, transactional, and compliance matters arising under the U.S. federal securities laws. He has experience drafting and reviewing registration statements, shareholder reports, and other legal documentation relating to the formation, registration, and ongoing operation of investment companies.

## PROFESSIONAL BACKGROUND

Before joining the firm, Nicholas was a legal intern for the U.S. Securities and Exchange Commission, Division of Trading and Markets, as well as a legal intern for the leading global association of regulated funds. He previously served as a summer associate at K&L Gates in 2018.

## ACHIEVEMENTS

- Richard L. Teberg Award, George Washington University Law School, 2019

## EDUCATION

- J.D., George Washington University Law School, 2019 (*with honors*)
- B.A., St. Mary's College of Maryland, 2016

## ADMISSIONS

- Bar of District of Columbia
- Bar of Maryland

## THOUGHT LEADERSHIP *POWERED BY HUB*

- 30 June 2023, United States: Tag, You (Maryland Closed-End Funds) Are It
- 16 December 2022, United States: SEC Proposes Regulation Best Execution
- 1 July 2022, SEC Solicits Comments on Whether Index Providers, Model Portfolio Providers, and Pricing Services Are Investment Advisers: Seeking a Problem for a "Solution"
- 17 March 2022, ETF Handbook, Third Edition

## OTHER PUBLICATIONS

- "SEC Solicits Comments on Whether Index Providers, Model Portfolio Providers, and Pricing Services Are Investment Advisers: Seeking a Problem for a 'Solution,'" *The Investment Lawyer*; October 2022

## AREAS OF FOCUS

- Asset Management and Investment Funds
- Exchange-Traded Products
- U.S. Registered Investment Companies