



REPRESENTATIVE CLASS ACTION LIST

SECURITIES/CORPORATE (see also FINANCIAL INSTITUTIONS)

Able Laboratories Securities Litigation, In re, C.A. No. 95-02681 (D.N.J.). Defend former officer of generic pharmaceutical manufacturer against claims, under Section 10(b) of the Securities Exchange Act, that he engaged in insider trading and helped prepare misleading SEC filings and press releases that misrepresented the company's compliance with FDA regulations.

Advantage Partners L.P. v. INVESCO Trust Co., et al C.A. No. 1:96CV02824 (D. Colo.). Defend independent trustees in action under Section 36(b) of Investment Company Act and state law alleging breach of fiduciary duty by adviser and Trustees.

Anish v. Vertex Pharmaceuticals, et al., C.A. No. 03-12021 (D. Mass.) Defend alleged securities class action claiming material misrepresentations and omissions related to Vertex Pharmaceuticals' VX-745 program.

Arenstein v. Prudential Securities, Inc., C.A. No. 95-8563-Civ-Hurley. Defend class action against claims for RICO violations, breach of contract, fraud and negligent misrepresentation brought by plaintiffs seeking to represent a class of persons who purchased allegedly tax-exempt bonds.

Aufman v. Marconi plc, et al., C.A. No. 01-1286 (W.D. Pa). Defend class action complaint alleging violations of federal securities laws.

Bank One Shareholders Class Actions, C.A. No. 99 C 0880 (N.D. Ill.). Defend former chief executive officer of defendant First USA in consolidated shareholders class action against Bank One Corporation, First USA and certain current and former directors and officers for alleged securities fraud in connection with public announcements regarding estimated earnings.

Bell v. FORE Systems, Inc., et al., C.A. 97-1265 (W.D. Pa.); **Chiostrri v. FORE Systems, Inc. et al.**, C.A. No. 97-1540 (W.D. Pa.); **Federbusch v. FORE Systems, Inc. et al.** C.A. No. 97-1405 (W.D. Pa.); **Haff v. FORE Systems, Inc., et al.**, C.A. No. 97-1307 (W.D. Pa.); **Lazzaro v. FORE Systems, Inc., et al.** C.A. No. 97-1393 (W.D. Pa.). Defend issuer and certain corporate officers and inside directors against alleged violations of Rule 10(b)5.

Berman v. Merrill Lynch, Pierce, Fenner & Smith, Inc., et al., C.A. No. CL 95-8291P (Cir. Ct., Palm Beach County, Fla.). Defend class action claiming damages sustained as a result of defendants allegedly not paying over credits in the form of "overflow payments."

Bildstein v. The Dreyfus/Laurel Funds, Inc., C.A. No. 97 CIV 8919(DC) (S.D.N.Y.). Defend class action by purchasers of Retail Class Shares of the Fund challenging the imposition of distribution fees (12b-1 fees).

Binder v. SafeCard Services, Inc., C.A. No. 94-2604 (S.D. Fla.). Defend a former Chief Operating Officer/Director of a public company in a securities fraud action brought by various plaintiffs who sought to represent a class of sellers of the company's stock over a two-year period of time.

Black Box Corporation Securities Litigation, C.A. No. 03-cv-412 (W.D. Pa.). Defend class action alleging securities fraud against Black Box and its senior executives alleging misrepresentations and omissions with respect to the company's public filings and financial statements, including the allegation that the company failed to account properly for uncollectable accounts receivable.

Brock v. Merrill Lynch & Co., et al., Case No. 98-498-CIV-FTM-26D (M.D. Fla.). Defend Merrill Lynch in a case that was brought on behalf of local and state governments that issued municipal bonds. The complaint alleged that investment banking firms conspired to charge excessive prices (markups) on Treasury securities used to fund escrow accounts for "advance refunding" bond transactions, in violation of the Investment Advisors Act of 1940 and the Sherman Antitrust Act.

Brown v. The Enstar Group, Inc., C.A. No. 90H-1268-N (M.D. Ala.); **Guy Spector v. The Enstar Group, Inc.**, C.A. No. 90-643 (D. Del.); **Peter J. Hynes, et al. v. The Enstar Group, Inc., et al.**, C.A. No. 90H-1204-N (M.D. Ala.); **Charles Pivens v. The Enstar Group, Inc., et al.**, C.A. No. 91-018 LON (M.D. Ala.); **Albert Zlotnick v. The Enstar Group, Inc., et al.**, C.A. No. 90-716 (M.D. Ala.). Defend class actions brought against publicly held company alleging false statements in a prospectus.

Casey, v. Prudential Securities Incorporated, C.A. No. 3462-97 (Super. Ct., Albany County, N.Y.). Defend broker-dealer in an action alleging fraudulent pricing and execution of over-the-counter securities.

Chambers Development Securities Litigation, C.A. No. 92-0679 (W.D. Pa.). Defend underwriters against securities law claims regarding a restatement of earnings due to a change of accounting methods.

Citiline Holdings, Inc., v. Printcafe Software, Inc., et al., C.A. No. 03-0959 (W.D. Pa.). Defend shareholders' class action brought against President/CEO and CFO/COO of Printcafe under the federal securities laws, alleging that the prospectus issued in connection with the initial public offering of Printcafe common stock was materially false and misleading.

City of Dearborn Heights General Governmental Employees' Retirement System v. Vertex Pharmaceuticals, et al., Civil Action No. 03-11855 (D. Mass.). Defend pharmaceutical manufacturer and its officers in a securities class

action claiming material misrepresentations and omissions related to Vertex Pharmaceuticals' VX-745 program.

Creditrust Litigation: Ronald J. Conlon v. Joseph K. Rensin, et al., C.A. No. MJG 00 CV 2174 (D. Md.); **Doyle Brewington v. Joseph K. Rensin, et al.**, C.A. No. MJG 00 CV 2297 (D. Md.); **Larry R. Romine v. Joseph K. Rensin, et al.**, C.A. No. MJG 00 CV 2343 (D. Md.); **Roy T. Tepper v. Joseph K. Rensin, et al.**, C.A. No. MJG 00 CV 2346 (D. Md.); **Annie Graham v. Joseph K. Rensin, et al.**, C.A. No. MJG 00 CV 2347 (D. Md.); and **Steve Goldstein v. Joseph K. Rensin, et al.**, C.A. No. MJG 00 CV 2569 (D. Md.). Defend President and CEO in multiple securities class actions alleging violations of Section 10(b) of the Securities Exchange Act.

Deverini v. Principal Group, C.A. No. CV-87-10988. Defend Canadian investment advisor against claims of securities fraud.

Diversified Financial Services Corporation of American Employee Profit Sharing Plan, David R. Kane, Trustee v. Worthen Banking Corporation, et al., No. LR-C-85-493, (E.D. Ark.). Defend former director in a class action concerning allegations of unlawful insider transactions and negligent management of reverse repurchase agreement transactions.

Eaton Vance Corp. Securities Litigation, C. A. No. 01CV10911 (D. Mass.). Defend four senior loan mutual funds and their officers in a securities fraud class action alleging violations of the Securities Act and the Securities Exchange.

Eaton Vance Mutual Funds Fee Litigation, Master File No. 04 CA 1144 (JGK) (SDNY). Defend mutual fund complex against charges of excessive fees and revenue sharing.

Ehrenreich v. Sensormatic Electronics Corp., et al., Consolidated Case No. 95-6637-Civ-Zloch (S.D. Fla.). Defend Chief Operating Officer and Chief Financial Officer in defense and settlement of a securities class action alleging that the defendants engaged in improper revenue recognition and issued misleading financial statements.

Emanuel v. Phillips Production Company and Phillips Resources, Inc., C.A. No. 10451 CD 1999 (C.C.P., Indiana County, Pa). Defend client in class action claiming natural gas royalty payments from settlement of a gas sales contract.

Faro Technologies, Inc. Securities Litigation, CA No. 6:05-cv-1810 (M.D. Fla.). Defend external auditor Grant Thornton in connection with claims based on Section 10(b) and Rule 10b-5 of the Securities Exchange Act of 1934, relating to issuance by auditor of audit opinion in conjunction with company's annual financial statements.

Federated Dept. Stores, Inc. and Allied Stores Corp., Consolidated Case No. 1-90-00130 (Bankr. S.D. Ohio). Defend preferred shareholders in action by debtor to eliminate shareholders' voting rights.

Fernhoff v. NuMed Home Health Care, et al., Case No. 96-CV-200 (M.D. Fla.). Defend lead underwriter in class action alleging fraud and misleading disclosures with respect to public offering of securities.

First Investors Litigation, C.A. No. 90-Civ-7225 (S.D.N.Y.) (Various class actions filed). Defend broker-dealer in New York class action and related significant private actions, some

including over a hundred claimants, in Alabama, Arizona, Connecticut, Maine, Massachusetts, Missouri and Texas, among others, alleging misrepresentation and unsuitability in the sale of mutual fund shares. A related Virginia case was the first criminal case against a mutual fund company for allegedly fraudulent sales practices.

First Union Corporate Securities Litigation (consolidated actions) No. 3:99CV237 (W.D.N.C.) Defend First Union and executive officers in a consolidated class action alleging that defendants intentionally misled the investing public with regard to acquisitions, thereby inflating the price of First Union's stock.

Fox v. BNC Mortgage, Inc. et al., C.A. No. 00CC02196 (Orange County Superior Court); **Glover v. BNC Mortgage, Inc., et al.**, C.A. No. 17808 (Del. Ch.). Defend client sued in class action arising out of management's offer to purchase the public shares of client.

Goldberg v. Merrill Lynch, et al., C.A. No. 93-307848CZ (Cir. Ct., Wayne County, Mich.); **Hutson v. Merrill Lynch, et al.**, No. 89-Civ-8358 (S.D.N.Y.). Defend Merrill Lynch as syndicator of real estate partnerships sponsored by Oxford Development Corporation in fraud class actions brought by purchasers of limited partnership interests.

Gourdji v. PolyMedica Corp., et al., C.A. No. 03-11393-RCL. Defend executive officers in class actions alleging that defendants' improper accounting of advertising expenses resulted in need to restate earnings.

Iurlano v. General Electric Co., P.L.C., et al., C.A. No. 99-817 (W.D. Pa, consolidated with Case No. 99-871). Defend class actions arising out of grant of stock options to senior management.

Griwatz, v. Marconi plc, et al., C.A. No. 01-1259 (W.D. Pa.). Defend class action complaint alleging violations of federal securities laws.

Gulf Oil/Cities Service Tender Offer Litigation, C.A. No. 82-Civ-5253 (S.D.N.Y.). Defend Chevron as successor to Gulf Oil in multi-billion dollar class action fraud and breach of contract of contract claims brought by Cities Service shareholders arising out of Gulf's aborted tender offer for Cities Service.

Halliburton class actions - Defend Halliburton in the following class action suits brought under the federal securities laws regarding allegedly improper accounting for unapproved claims on engineering, procurement, and construction contracts and allegedly understating extent of asbestos liability acquired in connection with acquisition of another company.

Abramowitz v. Halliburton C.A. 3-02CV 1248-L (N.D. TX (Dallas Division)

Coelho v. Arthur Andersen, et al C.A. 3-02CV 1368-L (N.D. TX (Dallas Division)

Davis, Robert W. vs. Halliburton C.A. H-02-2394 (S.D. TX (Houston Division)

Gambel, Alan v. Halliburton C.A. 3-02CV1268-L (N.D. TX (Dallas Division)

Gardner, David v. Halliburton C.A. 3-02CV1247-M (N.D. TX (Dallas Division)

Goldberg-Blum v. Halliburton C.A. 3-02CV 1532-R (N.D. TX) (Dallas Division)

Hack, Ernest v. Arthur Andersen, et al., C.A.. 02C-4120 (N.D. IL) (Eastern Division)

Jaroslawicz, David v. Halliburton C.A. 02CV 2176-H (S.D. TX) (Houston Division)

Kimble, John v. Halliburton C.A. 03-CV-1965-L. (N.D. TX)

Kosten, Harold v. Halliburton C.A. 3-02CV 1174-H (N.D. TX) (Dallas Division)

McAdams v. Halliburton C.A. 3-02CV 1261-L (N.D. TX) (Dallas Division)

Moore, Richard v. Halliburton C.A. 3-02CV 1152-L (N.D. TX) (Dallas Division)

Nemeth, Charles v. Halliburton C.A. H-02CV 2405 (S.D. TX) (Houston Division)

Parkes, Diana v. Halliburton L. C.A. H-02CV 2266 (S.D. TX) (Houston Division)

Patel v. Halliburton C.A. 3-02 CV 1238-L (N.D. TX) (Dallas Division)

Pitman v. Halliburton C.A. 3-02 CV 1514-L (N.D. TX) (Dallas Division)

Polar Investment Co. v. Arthur Andersen, et al C.A. 02C 4881 (N.D. IL) (Eastern Division)

Russo v. Halliburton C.A. 3-02 CV 1615-G (N.D. TX) (Dallas Division)

Stanley v. Arthur Andersen, et al C.A. 3-02CV 1267-R (N.D. TX) (Dallas Division)

Stephens v. Halliburton. C.A. 3-02CV 1442-L (N.D. TX) (Dallas Division)

Thibodeau v. Arthur Andersen, et al C.A. 3-02CV 1634-L (N.D. TX) (Dallas Division)

Turbowitz v. Halliburton C.A. 3-02CV 1168-L (N.D. TX) (Dallas Division)

Vasquez v. Arthur Andersen, et al C.A. 3-02CV 1633-L (N.D.TX) (Dallas Division)

Harbor Finance Partners v. Thomas Gill, et al., C.A. No. 17161 (Del. Ch.) and related cases. Defend corporation, its directors and officers against fiduciary duty claims relating to a tender offer and grant of stock options prior to commencement of tender offer.

Harrington v. Vertex Pharmaceuticals, et al., Civil Action No. 03-12085 (D. Mass.). Defend pharmaceutical manufacturer and its officers in a securities class action claiming material misrepresentations and omissions related to Vertex Pharmaceuticals' VX-745 program.

Herron v. OTRA Securities Group, Inc., et al., C.A. No. 91-C-714S (C.D. Utah). Advise client in class action alleging improper assessment of transaction fees on brokerage accounts.

Houston Corp. v. Environmental Systems Company, et al., C.A. No. LRC-89-77 (E.D. Ark.). Defend NYSE company and certain of its officers and directors in class action alleging false corporate reporting.

Houston Corporation v. Environmental Systems Company, et al., No. LR-C-89-77 (E.D. Ark.). Defend company and three of its officers and directors in a class action securities fraud case arising out of alleged negligent misrepresentations based on allegations that the company's press releases and financial statements were false and misleading.

Initial Public Offering Securities Litigation, Master File No. 21 MC 92 (SAS) (S.D.N.Y.). Defend issuer and director/officer defendants in class action litigation by purchasers of shares in issuer's initial public offering.

Initial Public Offering Antitrust Litigation, (Billing v. Credit Suisse First Boston, Ltd., et al), 01 Civ. 2014 (S.D.N.Y), 03-9284L & 03-9288CON (2d Cir.), 05-00157 (U.S.). Defend Merrill Lynch in consolidated class action litigation alleging that IPO allocations violated federal antitrust laws by arguing that such claims were preempted by the federal securities laws.

Johns William v. Vertex Pharmaceuticals, et al., C. A. No. 03-12040-PBS (D. Mass.). Defend pharmaceutical manufacturer and its officers in a securities class action claiming material misrepresentations and omissions related to Vertex Pharmaceuticals' VX-745 program.

Johnston, et al., v. HBO Film Management, Inc., et al., C.A. No. 95-1300 (W.D. Pa.). Defend Kidder Peabody in class action asserting RICO and state law claims regarding alleged misrepresentations regarding the production of movies.

Karpus v. Liberty Allstar Equity Fund, et al., C.A. No. B-88-644JAC (D. Conn.). Defend class action alleging failure to disclose wrongdoing in connection with the decision not to terminate an investment fund's adviser.

Katz v. Kindercare Learning Centers, Inc., C.A. No. 11209 (Del. Ch.). Defend class action alleging failure to disclose material facts in connection with a restructuring.

Katz v. Mid Atlantic Medical Services, Inc., et al., (Cir. Ct., Montgomery County, Md.). Defend New York Stock Exchange Company in action alleging that earnings projections were misleading.

Kazas v. Marconi plc, et al., C.A. No. 01-1289 (W.D. Pa). Defend class action complaint alleging violations of federal securities laws.

Kircher v. Putnam Funds Trust, et al., No. 03-L-1255 (Circ. Ct., Madison County, Ill.). Defend investment adviser in class action alleging breach of fiduciary duty in connection with the computation of net asset value of mutual fund investing in foreign securities.

Krim v. General Electric Co. P.L.C., et al., C.A. No. 99-872 (W.D. Pa, consolidated with Case No. 99-781). Defend class actions arising out of grant of stock options to senior management.

Lazar v. Arrays, Inc., C.A. No. CV 84-8100-WJR (Px); C.A. No. CV 84-8781-WJR (Px) (C.D. Ca.). Defend securities litigation involving alleged misrepresentations of financials.

Lewis v. E.C. Ernst, Inc., et al., C.A. No. 78C 2488 (S.D.N.Y.); **Ishayik v. E.C. Ernst, Inc., et al.**, C.A. No. 78 Cir. 5415 (E.D.N.Y). Defend two complex class action fraud suits that were eventually consolidated in the Eastern District of New York.

Marcano v. Vertex Pharmaceuticals, et al., Civil Action No. 03-11852 (D. Mass.). Defend pharmaceutical manufacturer and its officers in a securities class action claiming material misrepresentations and omissions related to Vertex Pharmaceuticals' VX-745 program.

Marion Merrell Dow Inc. Securities Litigation, C.A. No. 92-0609-CV-W-6 (W.D. Mo.). Defend Merrill Lynch, Goldman Sachs and Lehman Brothers as lead underwriters in secondary offering of Marion Merrell Dow, a pharmaceutical company, in fraud class action arising out of possible conversion of Seldane to over-the-counter status.

Market Street Limited Partners v. Englander Capital Corp., et al., C.A. No. 92-7434 (S.D.N.Y). Defend American Stock Exchange in securities fraud class action arising out of transactions in AMR Corporation put options.

Mathews v. Kidder, Peabody & Co. Incorporated, et al., C.A. No. 95-0085 (W.D. Pa.). Defend brokerage firms in RICO (securities/mail/wire fraud) and state law claims regarding sales of limited partnership units.

Matthews v. Marconi plc, et al., C.A. No. 01-1267 (W.D. Pa). Defend class action complaint alleging violations of federal securities laws.

McMillan v. The Enstar Group, Inc., et al., C.A. No. CV-91-5186 (N.D. Ala.). Defend corporation in claims involving violations of federal securities laws.

Mid Atlantic Medical Services, Inc. Securities Litigation, C.A. No. PJM-95-2098 (D. Md.). Defend corporation and certain officers and directors in four consolidated class actions alleging violations of Section 10(b) of the Securities Exchange Act.

Millionerrors Investment Club v. General Electric Co., P.L.C., et al., C.A. No. 99-781 (W.D. Pa.) and related cases. Defend target company, its directors and officers against claims arising out of a tender offer and alleging violations of federal antifraud provisions and state law fiduciary duty claims relating to disclosures and grant of stock options prior to commencement of the tender offer.

Milo v. The Enstar Group, Inc., C.A. No. 11246 (Del. Ch.); **Brug v. The Enstar Group, Inc.**, C.A.-90-089 (D. Del.). Defend class action alleging a series of misrepresentations and omissions in connection with a restructuring.

MiniScribe Securities Litigation, (approximately two dozen cases filed in Colorado, California, Texas and Arizona)—**Gottlieb v. Wiles, et al.**, C.A. No. 89-M-963 (D. Col.); **U.S. National Bank of Galveston, et al. v. Coopers & Lybrand, et al.**, C.A. No. 89-CV-1081A (212th Dist. Ct., Galveston, TX); **Joseph v. Wiles, et al.**, C.A. No. 89-M-731 (D. Col.). Defend venture capital firm and outside director of MiniScribe in securities actions concerning fraudulent financial statements of Colorado computer manufacturer.

Molten Metal Technology, Inc. Securities Litigation, C.A. 97-10325-MLW (D. Mass.). Represent former officer of corporation in securities fraud class action brought against the corporation, its officers and directors.

Musicmaker.com Securities Litigation, C.A. No. 00-CV-2018-CAS (C.D. Cal.). Defend lead underwriter in private securities class action alleging violations in connection with an IPO.

Mutual Funds Investment Litigation, MDL-15863 (D. Md.). Defend several broker-dealer and investment advisory firms in a series of class actions consolidated before an MDL panel in Baltimore. The individual class actions concern alleged market timing and late trading of mutual fund shares.

NASDAQ Market-Makers Antitrust Litigation, C.A. No. 94-3996 (S.D.N.Y.). Defend Merrill Lynch in over two dozen class actions that were consolidated in the Southern District of New York, alleging that market-makers in NASDAQ securities conspired to fix the spreads in those securities.

Nelson v. AIM Advisors, Inc., et al., Case No. 01-282-MJR (S.D. Ill.). Defend six investment advisers and their affiliated distributors in action alleging receipt of unlawful and excessive advisory and distribution fees.

New Image Securities Litigation, C.A. No. 90-6345 ER (GHKx) (C.D. Ca.). Defend H.J. Meyers, the underwriter for the New Image initial public offering. New Image was sued for alleged misrepresentations regarding sales forecasts.

Newton v. Merrill Lynch, Pierce Fenner & Smith, Inc., et al., C.A. No. 94-5343 (D.N.J.). Defend Merrill Lynch in a consolidated class action alleging that NASDAQ market-makers engaged in trading practices that violated their duty of best execution in violation of Section 10(b) of the Securities Exchange Act.

Nicholas v. Saul Stone & Company LLC, et al., C.A. No. 97-860 (D.N.J.). Defend Prudential Securities Incorporated against allegations of securities and commodities fraud in connection with a Ponzi scheme perpetrated by Sigma Inc., an unregistered commodity trading adviser and commodity pool operator.

NutriSystems Securities Litigation, C.A. Nos. C-91-20084 SW; C-91-20141 SW (consolidated) (N.D. Ca.). Defend H.J. Meyers, the underwriter for the NutriSystems initial

public offering. NutriSystems was sued for the company's alleged failure to disclose to investors potential physical side effects related to its weight loss program and the financial impact such alleged side effects may have on the company's profitability.

O'Malley v. Boris, et al., C.A. No. 15735NC (Del. Ch.) and C.A. No. 59, 1999 (Del. Supreme Ct.). Defend First Union Corporation, predecessors-in-interest in a class action alleging breach of fiduciary duty in connection with change by EVEREN in the money market mutual funds offered to its customers in connection with their brokerage "sweep" accounts.

PaineWebber Short Term U.S. Government Income Fund Securities Litigation, (formerly **Sabala and Horowitz v. PaineWebber, Inc., et al.**), C.A. No. 94-Civ-3820 (S.D.N.Y.); **Conrey v. PaineWebber, Inc., et al.**, C.A. No. 121350/94 (Super. Ct., N.Y.-New York City). Defend PaineWebber and others in state and federal fraud class actions by mutual fund shareholders asserting claims arising out of purchase of derivative securities for mutual fund portfolio.

Payne v. Merrill Lynch, Pierce, Fenner & Smith, Inc., Civil Action No. PJM-00-2012 (D. Md.). Defend Merrill Lynch before federal and state trial and appellate courts in a class action alleging the improper failure to reinvest dividends earned in IRA custodial accounts.

Philip Services Corp. Securities Litigation, C.A. No. 98 Civ. 835 (S.D.N.Y.). Defend former officers and directors of Philip Services with regard to alleged fraudulent account practices.

Piven v. Thomas Grill, et al., C.A. No. 17174 (Chancery Ct., Del.). Defend class actions arising out of grant of stock options to senior management.

PNF Industries, Inc., Securities Litigation, C.A. No. 92-Civ-8784; 92-Civ-9053 (S.D.N.Y.). Defend American Stock Exchange in class actions involving listing of PNF Industries.

Polymedica Corp. Securities Litigation, Consolidated Case C.A. No. 00-12426REK (D. Mass.) Defend executive officer in a consolidated securities class action alleging that defendants committed health care fraud, engaged in improper revenue recognition and issued misleading financial statements.

Polymedica Corp. Shareholder Derivative Litigation, C.A. No. 01-3446 (Super. Ct., Middlesex County, Mass.). Defend executive officers in stockholders' derivative action.

Presidential Life Insurance Co. v. Michael R. Milken, et al., C.A. No. 92-CV-1151 (S.D.N.Y.). Defend Lowell Milken in billion dollar class actions arising out of activities of Michael Milken and Drexel Burnham.

Prosperitas, Ltd., v. Marconi plc, et al., C.A. No. 01-1378 (W.D. Pa). Defend class action complaint alleging violations of federal securities laws.

Quintus Securities Litigation, Case No. c-00-4263 VRW (C.D. Cal.). Defend former officers and directors of Mustang.com against allegations of violations of the securities laws

(Sections 11, 12(a)(2), and 15 of the Securities Act and Section 14(a) of the Exchange Act), negligence, and breach of fiduciary duty related to their approval of the merger of Mustang.com and Quintus Corporation.

Regeneron Pharmaceuticals, Inc. Securities Litigation, C.A. No. 91-Civ-3352 (S.D.N.Y.). Defend Merrill Lynch as underwriter in fraud class action brought by stock purchasers in initial public offerings of Regeneron Pharmaceuticals, a biotech company.

Rolo v. City Investing Co. Liquidating Trust, et al., C.A. No. 94-4420 (D.N.J.). Defend Merrill Lynch in fraud class action brought by purchasers of lot contracts and mortgages from General Development Corporation.

San Diego City Employees' Retirement System v. Alstom SA, John Mayo, George Simpson, et al., C.A. 03-CV-8515 (VM) (S.D.N.Y.). Represent certain Alstom directors in shareholder class action alleging failure of Alstom to disclose massive liabilities.

Schiassi v. KinderCare, Inc., et al., C.A. No. 89-T-1227-N MATT (M.D. Ala.). Defend class action alleging misrepresentations and omissions in connection with a rights offering.

Schmitzer v. The Italian Oven, Inc., et al., C.A. No. 96-1248 (W.D. Pa.) and related cases. Defend underwriters in federal and state securities law claims relating to initial public offering.

Schrier, M.D. v. The McGraw-Hill Capital Corporation, et al., C.A. No. 00 CV 9545 (D. Colo.). Represent investment company in suit by minority shareholder alleging fraud and breach of fiduciary duty.

Shaev v. Hampel, et al., C.A. 99-Civ.-10578 (S.D.N.Y.). Defend Alcoa and its inside directors in a derivative action in which the plaintiff claimed that a proxy soliciting approval for an employees' option plan was fraudulent for failing to disclose the cost of the plan and the number of shares to be issued under the plan.

SIPC v. Vigman, C.A. No. CV-83-4742-AWT (C.D. Ca.); **Cain v. Vigman**, C.A. No. CV-83-5674-AWT (C.D. Ca.). Defend class action and related action by trustees of liquidated broker-dealers against a company and two officers and directors, alleging that the defendants and the broker-dealers engaged in a manipulation by, among other things, issuing false corporate reports and press releases concerning new products.

Spillane v. G.T. Greater Europe Fund, et al., C.A. No. C-95-4012 DLJ (M.D. Ca.). Defend closed-end mutual fund and investment adviser in class action securities fraud

action seeking to set aside special meeting regarding shareholder vote on open-ending the fund based on allegedly false and misleading proxy materials.

Steinberg v. Thomas Gill, et al., C.A. No. 17165 (Chancery Ct., Del.). Defend class actions arising out of grant of stock options to senior management.

Stepak v. Aetna Life and Casualty Company, et al., C.A. No. H-90-886 PCD (D. Conn.). Defend outside director in class action alleging Section 10(b) claims under the 1934 Act based on insurance company's accounting practices. **Sved, Stanley v. PolyMedica Corp., et al.** C.A. No. 03-11270-RCL. Defend executive officers in securities class actions alleging that defendants' improper accounting of advertising expenses resulted in need to restate earnings.

Stock Exchanges Options Trading Antitrust Litigation, C.A. No. M-21-79 (RCC) MDL #1283 (S.D.N.Y.). Defend client in an action against five stock exchanges and a number of specialists and market makers on those exchanges alleging a conspiracy to prevent multiple listing of options on the various exchanges.

Tarlov (Landy) v. PaineWebber Cash Fund, Inc., C.A. No. B80-260(WWE) (D. Conn.); **Glassman v. PaineWebber Cash Fund, Inc.**, C.A. No. B-82-363 (D. Conn.). Defend action challenging investment fund's disclosures and seeking recovery of allegedly excessive fees under Section 36(b) of the 1940 Act.

Think New Ideas, Inc., Consolidated Securities Litigation. C.A. No. 98 CIV. 6809 (SHS) (S.D.N.Y.). Defend public company and three of its officers in consolidated class actions alleging violation of the federal securities laws.

Thorman v. Reynolds, et al., C.A. No. 98-304 SLR (D. Del.) Defend class action and derivative lawsuits alleging proxy violations in connection with shareholder rejection of proposed bank acquisition.

Thorman v. Reynolds, C.A. No. 98-304 (D. Del.); C.A. No. 16414 (Chancery Ct., Del.). Defend broker dealer in private securities class action alleging breach of fiduciary duty and proxy rule violations.

Three Bridges Inv. Group v. Liberty Allstar Equity Fund, et al., C.A. No. 88-573Y (D. Mass.). Defend class action alleging misrepresentations in a prospectus concerning repayment of an underwriting fee and payment of excessive advisory fees.

Tri-Star Farms, Ltd. v. Marconi plc, C.A. No. 01-1259 (W.D. Pa). Defend client in shareholders class action alleging securities fraud under Section 10 of the Securities Exchange Act and Rule 10b-5.

Valder v. Prudential Securities, Inc., C.A. No. 97-644-CV-T-30A (M.D. Fla.). Defend Prudential Securities against allegations it did not properly disclose interest rate terms regarding margin accounts brought by plaintiffs who sought to represent a class of persons who had margin account debit balances over a certain amount.

WCT Securities Litigation, C.A. No. C-94-6524-JMI (BQRx) (C.D. Ca). Defend WCT Communications in securities class action.

Werbowsky v. American Waste Services, Inc.; Goldman Sachs & Co.; PaineWebber Incorporated; Ronald E. Klinge; Darrell D. Wilson; Charles Boryenance; Bruce P. Chapnick; George M. Adams; Harry L. Crouse; James A. Johnson; and F. Oliver Nicklin, Jr., C.A. No. 4:92 CV 1580 (N.D. Oh.). Defend underwriters in securities law claim relating to initial public offering. **Winick, M.D., v. General Electric Co., P.L.C., et al.**, C.A. No. 99-1130 (W.D. Pa, consolidated with No. 99-781). Defend class actions arising out of grant of stock options to senior management.

World Wrestling Federation Entertainment, Inc. Initial Public Offering Securities Litigation, C.A. 01 Civ. 10972 (SAS) (S.D.N.Y.). Represent issuer and director/officer defendants in class action litigation by purchasers of shares in issuer's initial public offering.