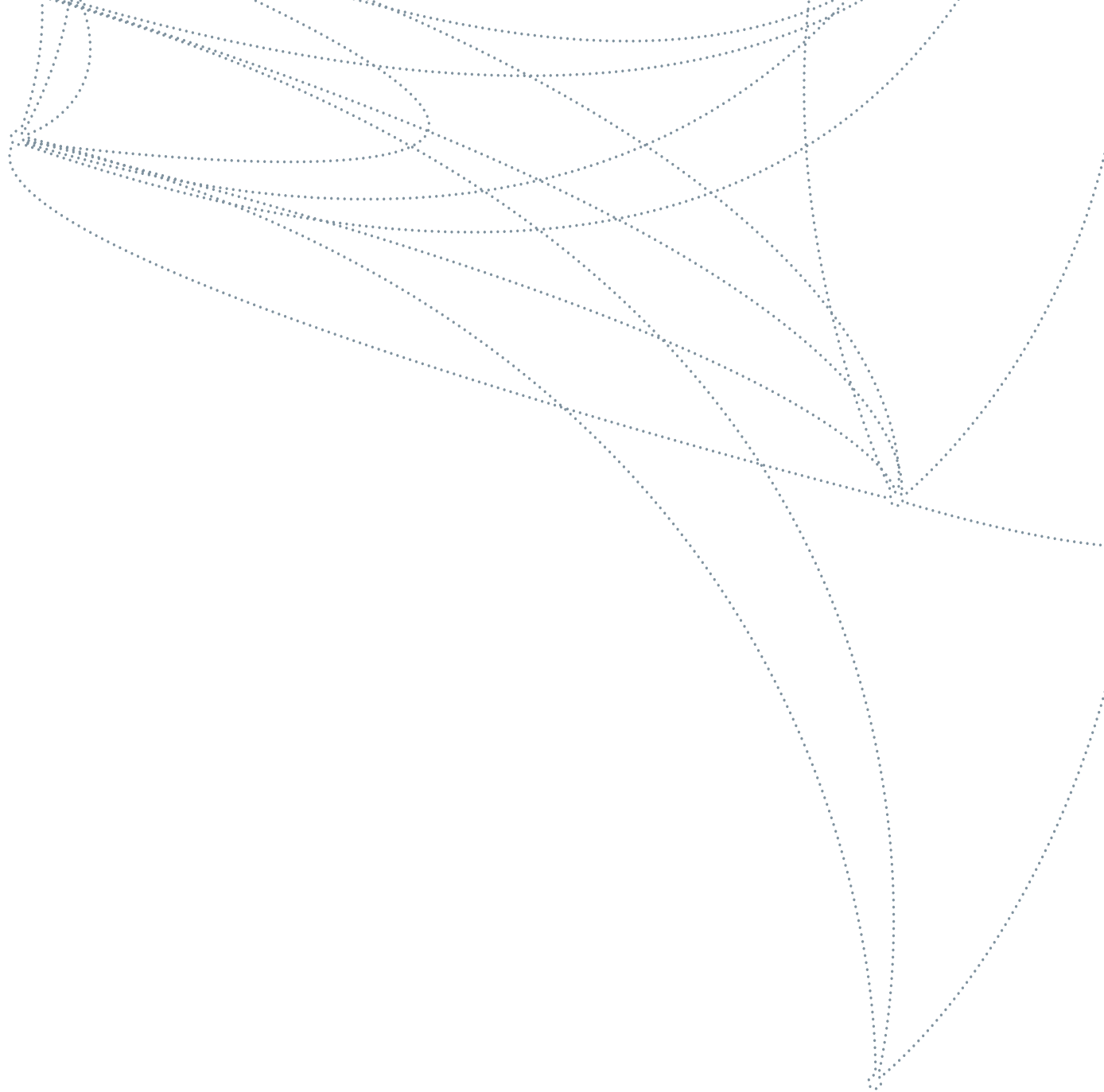


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Washington, DC Office

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Corporate & Transactional Area

Corporate



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Alissa's practice focuses on the counseling of clients in securities, finance and corporate matters. She has advised publicly held companies involved in mergers, acquisitions and divestitures of divisions and subsidiaries. Alissa has counseled clients in stock and asset based transactions, entity formation and business planning and public and private equity offerings. She has prepared proxy statements, registration statements and compliance manuals for limited partnerships, as well as legal documents relating to various acquisitions and financing transactions.



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Energy, Infrastructure and Resources Area

Energy



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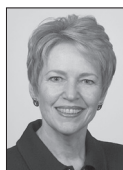


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Financial Services Area

Investment Management



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Diane has substantial experience in financial institution regulation under federal securities laws, including the USA PATRIOT Act and the Sarbanes-Oxley Act. She focuses her practice on mutual fund governance, compliance activities of mutual funds, private funds and variable insurance product issuers and distributors, and activities of related service providers.



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Susan is a partner in the firm's investment management group where she focuses on a variety of regulatory, transactional, and counseling matters involving the federal securities laws and ERISA. Susan advises participants in the financial services industry, including investment advisers, private funds, registered open-end and closed-end funds, business development companies, investment banks, and brokerage firms.



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Becky practices in the area of financial institutions law. Her clients are commercial banks, foreign banks, savings banks, savings associations and their holding companies. Her practice includes representation of financial institutions before state and federal bank and securities regulatory agencies; counseling clients on mergers and acquisitions, interstate branching, trust operations and de novo charter and other operations; advising clients on the sale, offering and design of various deposit and investment products within a financial services company; representation of foreign banks offering global custody and investment management services; and counseling investment management clients regarding the formation and operation of investment companies.



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Deborah focuses on investment management with an emphasis on the structuring and formation of hedge funds. She structures both U.S. fund and "offshore" funds, including "fund of funds," in such jurisdictions as the Cayman Islands and the British Virgin Islands and prepares and negotiates the documentation required for the offering of such funds, as well as service provider agreements, filings and registrations.



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Cary's principal areas of concentration are corporate, securities and commodities matters. In particular, she has focused her practice on private investment companies or hedge funds, negotiated mergers and acquisitions of operating companies as well as investment advisers and broker-dealers, derivatives and related areas. Cary structures hedge funds as limited liability companies, limited partnerships, common trust funds and business trusts, and prepares disclosure documents and organizational documents for such entities. She also advises investment advisers, private fund managers and investment companies on compliance issues.



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Francine concentrates on regulatory and transactional matters involving mutual funds, closed-end funds, exchange traded funds (ETFs), investment advisers and broker-dealers. Her experience includes developing compliance programs for funds and investment advisers; advising fund boards on fund governance and regulatory issues; advising clients on structuring and patenting new investment products; developing comprehensive programs for compliance with the Sarbanes-Oxley Act and the USA PATRIOT Act; and developing procedures for ETFs, structuring and negotiating various agreements relating to ETFs, and preparing ABA comment letter to SEC concept release on ETFs.



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Mortgage Banking & Consumer Financial Products



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Tori practices in the areas of mortgage banking and consumer finance, and concentrates on issues of federal and state regulatory enforcement and fair housing/fair lending. Tori represents and counsels a broad range of clients, including banks, mortgage lenders, and other providers of consumer financial services in connection with regulatory compliance matters, such as government audits, investigations and enforcement proceedings.



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Kerri concentrates her practice on federal and state regulatory compliance matters affecting consumer financial service providers. Her practice includes advising clients on compliance with federal and state laws governing the licensing and practices of financial institutions, including mortgage lenders, money service businesses, prepaid card issuers, mobile banking service providers, consumer finance companies, loan servicers, and loan originators. Kerri has also assisted investor and loan servicer clients in developing loan modification programs and related policies to address compliance with the Home Affordable Modification Program. Ms. Smith has also assisted in drafting agreements concerning servicing rights purchase and sale agreements and servicing and sub-servicing agreements.



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Nanci focuses on state and federal regulatory compliance issues related to mortgage banking and consumer finance in both the primary and secondary markets. Nanci counsels on issues such as compliance with state and federal laws; providing regulatory counseling, memoranda, opinions and 50-state surveys and fee charts on state and federal laws impacting the origination, servicing and sale of mortgage loans and consumer loan products; and analyzing state laws, including predatory lending laws, to determine the extent and conditions under which liability attaches to an assignee of loans in the secondary market.

Intellectual Property Area

IP Procurement and Portfolio Management



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Litigation and Dispute Resolution Area

Commercial Disputes



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FDA



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Ann's practice includes regulatory counseling on food, drug, cosmetic, and medical device products. With a particular emphasis on legal and regulatory issues involving clinical practice, Ann counsels institutional review boards, clinical investigators, contract research organizations, and sponsors on compliance issues. In the drug area, her work has ranged from preparing INDs to product labeling and advertising. She also regularly counsels clients on labeling, advertising and formulation issues associated with dietary supplements and alternative therapies. Her experience includes federal, state, and international regulation of FDA-regulated products and activities.

Policy and Regulatory Area

Antitrust, Competition & Trade Regulation



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Karen focuses her practice in antitrust matters, counseling clients on a variety of antitrust laws, both domestic and international. Karen counsels clients concerning antitrust issues in licensing, distribution, pricing, and competitor collaborations, including regularly advising several trade associations on antitrust issues concerning cooperation between competitors. Karen also counsels clients regarding antitrust matters involved in mergers and acquisitions and represents clients seeking merger clearance from the Federal Trade Commission and the U.S. Department of Justice. In addition, she represents third-party market participants as witnesses subpoenaed by the government and in efforts to persuade the antitrust agencies to investigate or block an acquisition.



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Rebecca's practice involves legal and regulatory counseling in the area of prescription and non-prescription pharmaceuticals, as well as other consumer products such as dietary supplements, cosmetics, and alternative therapies. With a particular emphasis on pharmaceuticals, she has handled regulatory and policy matters pertaining to innovator and generic drugs, clinical study drugs, "DESI" drugs, over-the-counter drugs, Rx-to-OTC switch drugs, and homeopathic drugs. Rebecca routinely advises companies with FDA-regulated products on regulatory strategy, compliance issues, enforcement actions, and labeling and advertising matters. Her experience includes the federal, state, and international regulation of drugs. She also has drafted policy papers and congressional testimony for clients involved with legislative matters.



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Suzan practices FDA law with a primary focus on regulatory issues involving medical devices, foods, dietary supplements, over-the-counter drugs, cosmetics and consumer products. She regularly advises international and domestic manufacturers, distributors, and researchers on market entry strategies, labeling and promotional activities, regulatory compliance, recalls and field corrections, and enforcement defense. Suzan assists clients with the preparation of FDA submissions. Her practice also includes advising on transactional matters such as due diligence investigations related to life science company acquisition, divestment and capital growth as well as supplier contracts and clinical research agreements. Additionally, she counsels clients on trademark and copyright protection, unfair competition, the Lanham Act, trade dress, and Internet-related issues.



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Government Enforcement



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Amy concentrates her practice on defending securities litigation and assisting clients in responding to investigations by law enforcement authorities – particularly regarding violations of the securities laws or the Foreign Corrupt Practices Act.



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Lawyer Index

Name	Practice Group	Page
Ambler, Diane E.	Investment Management	1
Anthony, Elise G Sweeney	Public Policy and Law	8
Baker, Mary B.	Public Policy & Law	8
Baker, Nicole A.	Government Enforcement	7
Bardsley, Catherine S.	Investment Management	1
Barnes Yost, Morey E.	Mortgage Banking and Consumer Financial Products	4
Baugher, Kathryn M.	Mortgage Banking and Consumer Financial Products	4
Begley, Ann M.	FDA	6
Booth, Emily J.	Mortgage Banking and Consumer Financial Products	4
Bregasi, Ndenisarya M.	Investment Management	1
Brody, Melanie Hibbs	Mortgage Banking & Consumer Financial Products	4
Bruce, Carol Elder	Government Enforcement	7
Bunting, Holly S.	Mortgage Banking and Consumer Financial Products	4
Caiazza, Amy B.	Investment Management	1
Carnevale, Amy	Public Policy & Law	8
Choo, Yoon Y.	Investment Management	2
Clark, Beth	Investment Management	2
Clarke-Pearson, Mary	Investment Management	2
Collier, Benita P.	IP Procurement and Portfolio Management	5
Cooley, Krista	Mortgage Banking and Consumer Financial Products	4
Dandeker, Rebecca L.	FDA	6
Dey, Margo A.	Public Policy and Law	8
Ehrbar, Nicole B.	Public Policy and Law	8
Eldridge, Amy J.	Government Enforcement	7
Frisbee, Allison C.	Government Enforcement	7
Fuller, Stacy L.	Investment Management	2
Garvie, Pamela J.	Public Policy & Law	8
Gault-Brown, Susan I.	Investment Management	2
Gonzalez, Jennifer R.	Investment Management	2
Green, N. Akilah	Public Policy and Law	8
Hastings, Shanda N.	Government Enforcement	7
Heavner, Carolina M.	FDA	6
Howells, Lisette C.	Government Enforcement	7
Ingber, Kathy Kresch	Investment Management	2
Johnson, Julia Reynolds	Insurance Coverage	6
Kazmerzak, Karen	Antitrust, Competition & Trade Regulation	6
Koepfel, Erin Ardale	Government Enforcement	7
Kostner, Amanda B.	Commercial Disputes	5
Kully, Kristie D.	Mortgage Banking & Consumer Financial Products	5

Name	Practice Group	Page
Laird, Rebecca H.	Investment Management.....	2
Lambrakopoulos, Stavroula E.	Government Enforcement.....	8
LaRose, Catherine A.	Antitrust, Competition & Trade Regulation.....	6
Linn, Deborah A.	Investment Management.....	2
Lobenherz, Rebecca	Mortgage Banking and Consumer Financial Products	5
Loomis, Erin C.	Investment Management.....	3
Malpass, Melissa S.	Commercial Disputes	5
Markwood, Tricia K.	Public Policy & Law	9
Meer, Cary J.	Investment Management.....	3
Nikfar, Bethany M.	Government Enforcement.....	8
O'Malley, Cindy L.	Public Policy & Law	9
Onel, Suzan.....	FDA	7
Page, Karishma S.	Public Policy and Law	9
Parisi, Alissa Altongy.....	Corporate	1
Pryor, Lauren B.	Corporate	1
Purpuro, Laurine B.	Public Policy and Law	9
Richman, Lisa M.	Government Enforcement.....	8
Riggin, Stacey L.	Public Policy & Law	9
Robinson, Stephanie C.	Mortgage Banking and Consumer Financial Products	5
Rosenberger, Francine J.	Investment Management.....	3
Rossmann, Christyn	Investment Management.....	3
Sack, Leslie J.	Public Policy & Law	9
Schneider, Lori L.	Investment Management.....	3
Schweinfurth, Lynn A.	Investment Management.....	3
Shakeri, Mitra	Investment Management.....	3
Shinohara, Tori K.....	Mortgage Banking and Consumer Financial Products	5
Smith, Kerri M.....	Mortgage Banking and Consumer Financial Products	5
Songer, Jennifer.....	Investment Management.....	3
Suda, Molly	Energy	1
Sulaiman, Fatima S.....	Financial Services	3
Trudeau, Nicole	Investment Management.....	3
Tucker, Lisa H.	Energy & Utilities.....	1
Vetula, Megan E.	Energy	1
Weissgold, Nanci L.....	Mortgage Banking & Consumer Financial Products.....	5
Winick, Stacy H.	Investment Management.....	3
Wissmann, Yvette T.....	Public Policy and Law	9
Zanotti, Kristina M.	Investment Management.....	4
Zelensky, Natalya S.....	Investment Management.....	4



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