

QUICK LINK GUIDE FOR MIFID II GUIDANCE

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Changes to the MiFID II regulatory regime will impose new obligations on many global investment managers both inside and outside the European Union ("EU"). While the precise scope of these obligations will depend, in many cases, on further regulatory guidance, it is clear that certain business practices that are common among global investment managers must be reassessed in light of the new regime.

Following are links to several resources to help you navigate MiFID II, including our Toolkit, which summarizes several key aspects of MiFID II that may impact the trading activities and other operations of global investment managers with offices or clients in the EU. For convenience, we have also including links to several regulators' websites that contains useful information.

K&L GATES MIFID II TOOLKIT FOR GLOBAL INVESTMENT MANAGERS

Toolkit

<http://www.klgateshub.com/details/?pub=MiFID-II-Toolkit-for-Global-Investment-Managers-07-20-2017>

EUROPEAN SECURITIES AND MARKETS AUTHORITY (ESMA) LINKS

MiFID II/MiFIR Homepage

<https://www.esma.europa.eu/policy-rules/mifid-ii-and-mifir>

MiFID II and Investor Protection

<https://www.esma.europa.eu/regulation/mifid-ii-and-investor-protection>

MiFID II Investor Protection Q&A

https://www.esma.europa.eu/sites/default/files/library/esma35-43-349_mifid_ii_qa_on_investor_protection_topics.pdf

MiFIR Data Reporting Q&A

https://www.esma.europa.eu/sites/default/files/library/esma70-1861941480-56_qas_mifir_data_reporting.pdf

MiFID II and MiFIR Market Structures Topics Q&A

https://www.esma.europa.eu/sites/default/files/library/esma70-872942901-38_qas_markets_structures_issues.pdf

MiFID II and MIFIR Commodity Derivatives Topics Q&A

https://www.esma.europa.eu/sites/default/files/library/esma70-872942901-28_cdtf_qas.pdf

EUROPEAN COMMISSION (EC) LINK

Markets in Financial Instruments (MiFID II) - Directive 2014/65/EU

https://ec.europa.eu/info/law/markets-financial-instruments-mifid-ii-directive-2014-65-eu_en

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