



## David A. Bartz

### Partner

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## OVERVIEW

David Bartz is a partner in the firm's Nashville office and is a member of the Corporate practice. He advises clients in all aspects of corporate and securities law, with an emphasis on capital markets transactions, securities regulation, and corporate governance. David has experience representing both issuers and investment banks in a wide range of capital markets transactions, including initial public offerings, follow-on offerings, public and private offerings of debt and equity securities, and tender offerings. His practice also includes mergers and acquisitions and other general corporate matters, including Exchange Act reporting and corporate governance and compliance matters, including insider reporting and compliance with the rules of the New York Stock Exchange and Nasdaq.

David has counseled and represented companies involved in a broad array of industries, including financial services, international shipping, food distribution, and the energy industry, including exploration and production companies, master limited partnerships, pipeline joint ventures, and oilfield services companies.

## PROFESSIONAL BACKGROUND

Prior to joining the firm, David worked at another international law firm in Houston, Texas, before relocating to Nashville where he continued to focus his practice on capital markets transactions, securities regulation, corporate governance, mergers and acquisitions, and other general corporate and securities matters.

## ACHIEVEMENTS

- Listed in *The Best Lawyers in America*® for Mergers and Acquisitions Law in Nashville, Tennessee, 2024
- Selected to the Texas Super Lawyers Rising Stars list, 2017-2019

## PROFESSIONAL / CIVIC ACTIVITIES

- Nashville Bar Association
- Duke University Nashville Alumni Association
- Duke University Energy Industry Network and Insight Club

- American Bar Association, Business Law Section

## SPEAKING ENGAGEMENTS

- Presenter, "New SEC Beneficial Ownership Reporting Rules: Shorter Deadlines, Guidance on 'Groups,' Cash-Settled Derivatives," Strafford, 14 December 2023

## EDUCATION

- J.D., Vanderbilt Law School, 2009
- A.B., Duke University, 2006 (*magna cum laude*)

## ADMISSIONS

- Bar of Tennessee
- Bar of Texas

## THOUGHT LEADERSHIP POWERED BY HUB

- 31 January 2024, SEC Adopts Final Rules Regarding SPACs and De-SPAC Transactions to Impose Additional Disclosure and Reporting Requirements
- 18 January 2024, US Asset Management Regulatory Year in Review 2023
- 3 January 2024, DOJ, FBI, and SEC Provide Guidance for Delay Requests Relating to Disclosure of Cybersecurity Incidents Under Form 8-K
- 12 October 2023, SEC Adopts Amendments Modernizing Beneficial Ownership Reporting
- 20 January 2022, SEC Evaluates Changes to its Investor Counting Rules Potentially Forcing Large Private Companies into the Public Reporting Regime

## OTHER PUBLICATIONS

- 'The Rise of Special Purpose Acquisition Companies and "SPACulation" of What's to Come,' ACC TN Newsletter, Fall 2021

## NEWS & EVENTS

- 14 December 2023, New SEC Beneficial Ownership Reporting Rules: Shorter Deadlines, Guidance on "Groups," Cash-Settled Derivatives, Hosted by Strafford
- 20 June 2023, K&L Gates Advises Vox Royalty Corp. on Cross-border Underwritten Public Offering of Common Shares

- 29 December 2021 , K&L Gates Advises SeaChange on Merger Forming \$5 Billion Public Video App Business
- 29 December 2021 , K&L Gates Advises Gorilla Technology Group Inc. on Proposed Merger with Global SPAC Partners Co.
- 21 July 2021, K&L Gates Advises Reliant Bancorp on \$517 Million Merger with United Community Banks
- 10 June 2021, K&L Gates Advises Spartacus Acquisition Corporation on Merger with NextNav
- 3 February 2021, K&L Gates Launches Nashville Office with Hire of 25+ Lawyers

## MEDIA MENTIONS

- "SEC Could Pull More 'Unicorns' Into Public Reporting Regime," *Law360*, 28 January 2022

## AREAS OF FOCUS

- Capital Markets
- Corporate and Acquisition Finance
- Corporate Governance
- Mergers and Acquisitions
- Oil and Gas
- Private Equity Transactions
- Public Companies

## INDUSTRIES

- Financial Services
- Private Equity