

## **Christine Mikhael**

#### **Associate**

Washington DC +1.202.778.9182

Christine.Mikhael@klgates.com

#### **OVERVIEW**

Christine Mikhael is an associate in the firm's Washington, D.C. office, where she is a member of the Asset Management and Investment Funds practice group. Christine assists registered investment companies and their independent board members with regulatory and compliance matters arising under U.S. federal securities laws. She has experience drafting and reviewing registration statements, shareholder reports, and other legal documentation relating to the formation, registration, and ongoing operation of investment companies. She regularly works with a variety of different fund structures, including mutual funds, closed-end funds, and exchange-traded funds.

Christine also counsels clients on cross-border regulatory, compliance and enforcement matters involving the U.S. Commodity Futures Trading Commission (CFTC). Specifically, she counsels investment advisers, commodity pool operators, commodity trading advisors, futures commissions merchants, and introducing brokers in a wide range of derivative regulatory and CFTC and National Futures Association compliance requirements. Christine also counsels cryptocurrency and fintech companies navigating the rapidly evolving legal and regulatory environment.

#### PROFESSIONAL BACKGROUND

Prior to joining the firm, Christine was a legal intern for the Department of Justice. She also was a K&L Gates summer associate in 2019.

#### **EDUCATION**

- J.D., George Washington University Law School, 2020 (with honors)
- B.A., Grove City College, 2017 magna cum laude

#### **ADMISSIONS**

Bar of District of Columbia

#### **LANGUAGES**

- Spanish
- Arabic (Egyptian Dialect)

#### THOUGHT LEADERSHIP POWERED BY HUB

- 23 April 2024, Three Things to Know About Cboe's ETF Share Class Filing
- 26 September 2023, What's in a Fund Name? SEC Approves Changes to the Fund Names Rule
- 21 September 2023, Amendments to the Names Rule
- 18 August 2023, ICYMI: Integrity Council Launches Global Benchmark and Core Carbon Principles for Voluntary Carbon Markets
- 18 July 2023, United States: CFTC Seeks to Refresh Swap Dealer and FCM Risk Management Program Requirements
- 10 November 2022, SEC Proposes New Requirements for Adviser Oversight of Service Providers
- 9 June 2022, United States: CFTC Sues Crypto Exchange Gemini Trust Co.
- 6 May 2022, CFTC and SEC Perspectives on Cryptocurrency and Digital Assets Volume I: A Jurisdictional Overview
- 17 March 2022, ETF Handbook, Third Edition

#### **OTHER PUBLICATIONS**

- "Every Fund Has One—The Amended Names Rule and Its Impact," The Investment Lawyer, February 2024
- "Tailored Shareholder Reports," The Investment Lawyer, January 2023
- "Virtual Wine in Clay Amphoras: The SEC's Approach to the Regulation of Investment Funds of Digital Assets," The Investment Lawyer, March 2022
- "Rest Easy Chief Compliance Officers: A Quick Guide to Compliance Officer Regulatory Obligations," FIA, **April 2021**
- "CFTC Regulatory and Enforcement Report: 2019 Activity and Outlook," The Investment Lawyer, January 2020

#### **AREAS OF FOCUS**

- Asset Management and Investment Funds
- Derivatives

# **K&L GATES**

- **Exchange-Traded Products**
- Global Financial Services Regulation
- **Investment Advisers**
- **Investment Funds**
- U.S. Registered Investment Companies

### **INDUSTRIES**

- Digital Assets, Blockchain Technology and Cryptocurrencies
- Technology