



## Julie F. Rizzo

### Partner

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## OVERVIEW

Julie Rizzo is a partner in the firm's Capital Markets practice group. Julie has substantial experience representing companies in a variety of capital markets and corporate governance matters. She focuses her practice on advising clients on SEC reporting and disclosure issues, stock exchange compliance, and environmental, social and governance (ESG) matters. She also regularly assists clients on a variety of capital market transactions.

Julie's prior professional experience brings a unique perspective to clients. By spending over six years in an in-house role at a large, New York Stock Exchange listed technology company, Julie has an understanding of the inner workings of corporate legal departments and the need for in-house legal teams to be able to provide business-focused legal advice. Additionally, Julie has gained a deep understanding of the regulatory process after spending five years in the Division of Corporation Finance at the U.S. Securities and Exchange Commission, which allows her to advise clients on disclosure and compliance matters through a sharper, regulatory focused lens.

## PROFESSIONAL BACKGROUND

Prior to joining the firm, Julie served as counsel at a U.S. law firm. Previously, she also worked at a large, publicly traded technology company, the U.S. Securities and Exchange Commission, and a Big Four accounting firm

## ACHIEVEMENTS

- Listed in *The Best Lawyers in America*® for Corporate Governance Law and Securities/Capital Markets Law, 2023-2024
- Red Hat, Inc.'s General H. Hugh Shelton Chairman's Award Winner, 2018
- US Securities and Exchange Commission's Productivity Improvement Award, presented to the Shareholder Proposal Task Force, 2009

## SPEAKING ENGAGEMENTS

- "Proxy Statement Interactive Seminar Panelist," Donnelley Financial Solutions Corporate Governance Communications Strategies for Shareholder Engagement, Raleigh, N.C., November 2017

## EDUCATION

- J.D., Georgetown University Law Center, 2002 (*The Tax Lawyer Journal*, Managing Editor)
- B.S., Georgetown University, 1998 (*with Magna Cum Laude*)

## ADMISSIONS

- Bar of District of Columbia
- Bar of New York
- Bar of North Carolina

## THOUGHT LEADERSHIP POWERED BY HUB

- 13 March 2024, SEC's Final Climate Rules
- 12 March 2024, Threading the Needle: The US Securities and Exchange Commission's Final Climate-Related Disclosure Rules
- 16 January 2024, New Disclosure Requirements for Your Form 10-K
- 28 September 2023, The Coming Generative AI Revolution: The Impact on Fintechs and Banks
- 7 August 2023, SEC Adopts Final Rules for Cybersecurity Disclosures
- August 2023, SEC's Final Public Company Cybersecurity Disclosure Rules
- June 2023, Generative AI - Legal, Policy, and Ethical Considerations
- 11 January 2023, Preparing for the Annual Reporting Season: Non-GAAP Measures
- 5 April 2022, ESG Insights: Evolving Risk Landscape From Policy to Insurance and Capital Markets
- 28 March 2022, ESG Insights: SEC Proposed Climate-Related Disclosures
- 15 March 2022, SEC's Cybersecurity Risk Management and Incident Disclosure Proposed Rules | What Changed?
- February 2022, Tenth Annual "Under the Wire" CLE Webinar

## OTHER PUBLICATIONS

- “Acquiring an AI Company,” *Thomson Reuters Practical Law*, January 2024
- “SEC Continues to Modernize the Disclosure Regime,” Society for Corporate Governance, December 2020
- “SEC Modernizes the Exempt Offering Framework, Benefitting Investors and Small and Midsize Companies,” Society for Corporate Governance, November 2020
- “Practical Tips for Navigating SEC Comment Letters,” Corporate Compliance Insights, February 2020

## NEWS & EVENTS

- 18 August 2022, More Than 350 K&L Gates Lawyers Named Among 2023 Best Lawyers in America, Ones to Watch
- 28 July 2022, K&L Gates Advises Security Matters Limited on Scheme of Arrangement, De-SPAC and Merger with Lionheart III Corp.
- 15 March 2022, SEC's Cybersecurity Risk Management and Incident Disclosure Proposed Rules | What Changes are Proposed?
- 15 March and 28 March 2022, ESG Insights Webinar Series
- 2-3 November 2021, ESG for Renewable Energy Financing, hosted by Infocast Master Class
- 10 August 2021, K&L Gates Enhances Public Companies Practice with Raleigh Partner Addition

## MEDIA MENTIONS

- Mentioned, “K&L Gates’ Sean Jones and Julie Rizzo help diverse clientele navigate the ESG chaos,” *Wolters Kluwer*, 12 May 2023
- Mentioned, “3 Firms Guide Pair Of Blank-Check IPOs Totaling \$160M,” *Law360*, 10 February 2023

## AREAS OF FOCUS

- Capital Markets
- Corporate Governance
- Public Companies

## INDUSTRIES

- Artificial Intelligence
- Technology

## EMERGING ISSUES

- Environmental Social Governance (ESG)