



Brian Doyle-Wenger

Associate

Nashville
+1.615.780.6718

Brian.Doyle-Wenger@klgates.com

OVERVIEW

Brian Doyle-Wenger is an associate in the firm's Nashville office. He is a member of the firm's Asset Management and Investment Funds practice group. Brian focuses his practice on advising fund sponsor clients in connection with various fundraising, structuring, governance, operations, regulatory, and compliance matters. Brian also focuses on advising registered investment companies, including exchange traded funds, closed-end funds, and mutual funds and their investment advisers on legal, regulatory, and compliance matters. He has experience drafting and reviewing registration statements, shareholder reports and other regulatory and legal documentation related to the development and launch of new funds, fund mergers and reorganizations, and the ongoing operations of registered funds.

PROFESSIONAL BACKGROUND

Prior to joining the firm, Brian served as an associate at a law firm in Ohio where he regularly advised investment companies (including mutual funds, exchange-traded funds, closed-end funds, business development companies), and their investment advisers, and their boards of directors on a wide range of regulatory and corporate matters including organizing and launching funds, regulatory compliance, fund reorganizations and acquisitions, and fund governance. Brian assisted with formation and offering documents for private equity, venture capital, and hedge funds. He also counsels clients on compliance with state, federal, and international laws governing information privacy and security.

ADDITIONAL BACKGROUND

Brian served in the United States Army, where he held various leadership roles. He is a combat veteran and a recipient of the bronze star with oak leaf cluster, meritorious service medal, army commendation medal, and army achievement medal.

EDUCATION

- J.D., Capital University Law School, 2018
- B.A., The Ohio State University, 2007

ADMISSIONS

- Bar of Ohio
- Bar of Tennessee

THOUGHT LEADERSHIP *POWERED BY HUB*

- 29 August 2023, Spot Bitcoin ETFs—Coming to an Exchange Near You (Maybe)!
- 1 May 2023, United States: SEC Staff Finds Safeguarding Policies and Procedures Lacking at Branch Offices
- 17 March 2023, United States: SEC Proposes Amendments to Broaden the Scope of Regulation S-P in Response to Digital Communications and Risks to Customer Personal Information
- 17 November 2022, The SEC's New Rule Demonstrates That It Believes Shareholder Reports, Like Clothes, Should Be Tailored to You
- 17 November 2022, SEC Proposes Swing Pricing and a Hard Close for All Mutual Funds
- 14 October 2022, United States: SEC Reopens Comment Period for Eleven Significant Rulemaking Releases

OTHER PUBLICATIONS

- “Tailored Shareholder Reports,” *The Investment Lawyer*, January 2023

AREAS OF FOCUS

- Asset Management and Investment Funds
- Hedge Funds
- Investment Advisers
- Investment Funds