



## Raymond F. Jensen

### Associate

New York  
+1.212.536.3946

Raymond.Jensen@klgates.com

## OVERVIEW

Raymond Jensen is an associate in the firm's New York office. He is a member of the Asset Management and Investment Funds practice group. Raymond focuses on securities regulatory and fund formation matters at the firm. In addition, Raymond advises clients with respect to the sale of digital securities and assets, cryptocurrencies, and initial coin offerings (ICOs).

## PROFESSIONAL BACKGROUND

Prior to joining the firm, Raymond worked in the legal and compliance department of a leading New York based private equity firm. In this role, Raymond worked on both the private equity and capital markets teams, assisting in variety of complex fundraises and transactions. Additionally, Raymond briefly worked in the legal and compliance department of an alternative investment manager focused on special situations credit, equity, bonds, and foreign exchanges.

## EDUCATION

- J.D., Fordham University School of Law, 2021
- B.A., Colgate University, 2017

## ADMISSIONS

- Bar of New York

## THOUGHT LEADERSHIP *POWERED BY HUB*

- 20 March 2024, NAPFM, AIMA, and MFA File Complaint Against SEC's New Dealer Rule
- 5 March 2024, SEC and CFTC Adopt Amendments to Form PF for Increased Disclosure
- 29 February 2024, SEC Expands "Dealer" Definition to Capture Liquidity Providers

- 7 February 2024 , SEC Expands Definition of Dealers and Government Securities Dealers
- 28 August 2023, Brokers Beware—The Massachusetts Fiduciary Rule is Here to Stay
- 17 February 2023, United States: SEC Adopts Rules to Reduce Risk in Clearance and Settlement
- 12 October 2022, As the WORM Turns: SEC Provides Alternative Recordkeeping Requirements for Brokers

## AREAS OF FOCUS

- Asset Management and Investment Funds
- Broker-Dealers
- Emerging Managers, Seed Investors, and GP Stakes
- Investment Advisers
- Investment Funds
- Portfolio Management, Trading, and Compliance
- Private Equity Funds

## INDUSTRIES

- Digital Assets, Blockchain Technology and Cryptocurrencies
- Financial Services
- Fintech
- Fund Formation
- Private Equity
- Technology