



## Ndenisarya M. Bregasi

### Practice Area Leader – Asset Management and Investment Funds

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## OVERVIEW

Ndenisarya Bregasi is a partner in the Asset Management and Investment Funds practice. Her practice focuses on the representation of investment companies, their independent directors and trustees, and investment advisers on a full range of regulatory, compliance, and transactional matters. Ndenisarya works with investment company complexes and investment advisers of all sizes and is particularly focused on serving the needs of each individual client.

In 2015, Ndenisarya was selected by *Fund Action* and *Fund Directions* as a Rising Star of Mutual Funds. Each year colleagues in the industry identify self-starters who have risen quickly to further the development of their company and the mutual funds community as a whole. *Fund Action* and *Fund Directions* subsequently determine winners based on an advanced set of criteria relating to leadership and outstanding contributions to the mutual fund industry.

## ACHIEVEMENTS

- Recognized by *Chambers Global* for Registered Funds in the USA, 2023-2024
- Recognized by *The Legal 500* as a Leading Lawyer in Investment Fund Formation and Management
- Listed in *Best Lawyers in America*® in the field of Mutual Funds Law
- Recognized by *Chambers USA* in Registered Funds Law, 2022

## PROFESSIONAL / CIVIC ACTIVITIES

- Board Chair, Washington Conservatory of Music
- American Bar Association
- District of Columbia Bar Association
- Maryland State Bar Association

## SPEAKING ENGAGEMENTS

Ndenisarya speaks frequently on regulatory and compliance issues. She is a regular faculty member of conferences sponsored by K&L Gates, the National Society of Compliance Professionals, and Ascendant Compliance. Her presentations include:

- *Future of Mutual Funds*, K&L Gates and Fund Directions, Fund Directors Boston Summit 2017, (Boston, MA, 2017)
- *Compliance and Ethics*, National Society of Compliance Professionals, 2015 National Membership Meeting (Washington, D.C., 2015)
- *Regulatory Exams*, National Society of Compliance Professionals, West Coast Regional Membership Meeting (Los Angeles, CA, 2015)
- *Incorporating Ethics into Your Compliance Program*, National Society of Compliance Professionals, 2014 National Membership Meeting (Washington, D.C., 2014)
- *Conducting Due Diligence*, National Society of Compliance Professionals, East Coast Regional Membership Meeting (New York, NY, 2014)
- *Disclosure Issues: You Do Not Want to be Surprised*, National Society of Compliance Professionals, 2012 National Membership Meeting (Washington, D.C., 2012)
- *Addressing New Issues in SEC and State Examinations and Enforcement Proceedings*, National Society of Compliance Professionals, East Coast and Boston Regional Membership Meetings (New York, NY and Boston, MA, 2010)
- *Getting a Little Closer: Buildings Alliances to Be a More Effective Business Partner With Your In-House Clients and Introduction to Financial Statements*, Corporate Counsel Women of Color, 5th Annual Career Strategies Conference, (Las Vegas, NV, 2009)
- *Investment Adviser Compliance For Beginners*, National Society of Compliance Professionals, 2008 National Membership Meeting, (Philadelphia, PA, 2008)
- *Investment Adviser Roundtable: Risk Management Processes*, National Society of Compliance Professionals, East Coast and Boston Regional Membership Meetings (New York, NY and Boston, M.A. 2008)

## EDUCATION

- J.D., Georgetown University Law Center, 2000
- M.Ed., University of British Columbia, 1998 (*with honors*)
- B.A., University of Saskatchewan, 1994 (*honors*)

## ADMISSIONS

- Bar of District of Columbia
- Bar of Maryland

## THOUGHT LEADERSHIP POWERED BY HUB

- April 2024, ESG and the Sustainable Economy Handbook
- 2 November 2023, Asset Management and Investment Funds Fall Conferences - Washington, D.C.
- September 2020, Understanding the SEC's Proposed Modernized Fund Shareholder Reports and Disclosures

## NEWS & EVENTS

- 15 February 2024, *Chambers Global* 2024 Guide Ranks K&L Gates Lawyers, Practices
- 7 June 2023, The Legal 500 Recognizes K&L Gates Practices, Lawyers in 2023 United States Edition
- 1 June 2023, K&L Gates Receives Firm, Individual Rankings in 2023 *Chambers USA* Guide
- 16 February 2023, K&L Gates Recognized in 2023 *Chambers Global* Guide
- 2 November 2022, 2022 K&L Gates Asset Management and Investment Funds Conference - Washington, D.C.
- 18 August 2022, More Than 350 K&L Gates Lawyers Named Among 2023 Best Lawyers in America, Ones to Watch
- 8 June 2022, K&L Gates Practices and Lawyers Recognized in *The Legal 500 United States* 2022 Edition
- 8 June 2022, 2022 Fund Governance and Regulatory Insights Conference, Hosted by Mutual Fund Director's Forum
- 1 June 2022, K&L Gates Receives Firm, Individual Rankings in 2022 *Chambers USA* Guide
- 13 September 2021, K&L Gates Releases ESG and the Sustainable Economy Handbook
- 25 June 2021, K&L Gates Asset Management and Investment Funds Practice Ranked in 2021 Legal 500 United States Guide

## AREAS OF FOCUS

- Asset Management and Investment Funds
- Investment Advisers

- Investment Funds
- Portfolio Management, Trading, and Compliance
- U.S. Registered Investment Companies

## EMERGING ISSUES

- Environmental Social Governance (ESG)