

Yu-Hwa Chen

Mutual Fund Division Advisor

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OVERVIEW

Yu—Hwa Chen is a mutual fund division advisor with the firm. She has over 20 years of experience in securities, futures, financial derivatives investments and related risk management and financial investments. She handles matters related to offshore fund registration and associated investment activities, provides legal and regulatory compliance advice in the financial services industry, assists clients in the financial industry to obtain relevant business licenses, assists clients to review offshore/onshore investment agreements, investment advisory agreements, and investment management agreements. She also assists clients with the legal framework necessary for futures trading.

PROFESSIONAL / CIVIC ACTIVITIES

Certificate of University/College Lecturer

EDUCATION

- LL.M., Soochow University, 1996
- B.A., National Central University, 1991 French

LANGUAGES

- Chinese (Mandarin)
- French
- Taiwanese

AREAS OF FOCUS

- Asset Management and Investment Funds
- Capital Markets

K&L GATES

- Corporate Governance
- Mergers and Acquisitions
- **Public Companies**