



Nicole A. Stockey

Partner

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OVERVIEW

Nicole Stockey concentrates her practice on commercial litigation, employment litigation and related matters, and investigations and compliance with the Foreign Corrupt Practices Act (FCPA) and other anti-bribery and anti-corruption related laws.

Nicole has led and assisted with internal investigations of business activities, individuals associated with clients, and foreign business partners. These investigations have addressed anonymous and whistleblower threats, fraud, corruption, employee theft, conflicts of interest, international trade, and other alleged misconduct. Nicole has conducted internal investigations in the United States, North America, South America, the Middle East, Europe, Africa, and Asia.

Ms. Stockey has represented clients in alleged corruption and related accounting matters before the Department of Justice (DOJ) and the Securities and Exchange Commission (SEC). Specifically, Ms. Stockey has assisted clients in obtaining favorable outcomes before various enforcement authorities, including a declination by the DOJ and non-prosecution agreement with the SEC.

Ms. Stockey also conducts anti-corruption due diligence on prospective and current business partners and in the context of mergers & acquisitions. She counsels clients regarding anti-corruption and anti-bribery compliance. She assists clients in conducting risk assessments regarding their business operations and counsel clients on their anti-corruption and anti-bribery policies, procedures, and programs. She also assists clients with training their employees and business partners on compliance with the FCPA and other anti-corruption and related laws.

Related to her employment litigation, Ms. Stockey has assisted clients with defending claims for gender, racial, age, and disability discrimination. She has also assisted in the defense of claims involving the Family and Medical Leave Act, the Civil Rights Act of 1964, the Employee Retirement Income Security Act of 1974, and other contract and tort laws. She has represented clients before the Equal Employment Opportunity Commission ("EEOC") and various state agencies and authorities. She successfully defended against and secured favorable resolutions related to EEOC Commissioner Charges. She has conducted internal investigations involving various employment issues, including sexual and racial harassment, as well as other employee misconduct.

With respect to her commercial litigation practice, Ms. Stockey focuses on insurance coverage, securities law, and toxic tort matters.

PROFESSIONAL BACKGROUND

Nicole was a summer associate at the firm in 2007 and 2008. Previously, she served as a Law Clerk for Federal Public Defender, Western District of Pennsylvania.

PROFESSIONAL / CIVIC ACTIVITIES

- Allegheny County Bar Association
- Women in White Collar Defense Association
- Graduate of Pittsburgh Leadership's Development Initiative Class XXIII
- Winner of K&L Gates TED Talk Competition (2019)
- Recipient of K&L Gates Pittsburgh Partner Mentorship Award (2019)

EDUCATION

- J.D., University of Virginia School of Law, 2009 (*Virginia Tax Review*)
- B.S., Pennsylvania State University, 2004 (*Schreyer Honors College; summa cum laude; Phi Beta Kappa*)

ADMISSIONS

- Bar of Pennsylvania
- United States District Court for the Western District of Pennsylvania

THOUGHT LEADERSHIP POWERED BY HUB

- 29 July 2020, COVID-19: K&L Gates Working Wise: Navigating Your Business Through a Shifting Enforcement Landscape - Practical Advice in the Time of COVID-19 Workplace Safety Enforcement (*Research Surveys*)
- 6 July 2020, COVID-19: Navigating Your Business Through a Shifting Enforcement Landscape: Practical Advice in the Time of COVID-19 Workplace Safety Enforcement (*Alerts/Updates*)
- 22 May 2020, COVID-19: Senior Officials Comment on the Current State of Enforcement, Expectations, and Context Considerations During the COVID-19 Pandemic (*Alerts/Updates*)
- 16 May 2019, DOJ Revises Corporate Compliance Guidance Calling Attention to Three Areas Where Most Companies Fall Short: Risk Assessments, Compliance Culture, and Continuous Compliance Program Improvement (*Alerts/Updates*)

- May 2018, GC University - Session Two - Sexual Harassment and Social Media in the Workplace (*Research Surveys*)
- 15 May 2017, The New Faces of FCPA Enforcement: The Transition to a Sessions-Clayton Enforcement Regime Is Unlikely to Result in Drastic Changes (*Alerts/Updates*)
- 6 January 2017, FCPA Enforcement Under Trump: Don't Call Off the Cavalry Just Yet (*Alerts/Updates*)

OTHER PUBLICATIONS

- "Survey of Pennsylvania Privacy and Related Claims Against the Media," *MLRC 50-State Survey: Media Privacy and Related Law*, 2018-2019.
- "Survey of Pennsylvania Privacy and Related Claims Against the Media," *MLRC 50-State Survey: Media Privacy and Related Law*, 2017-2018.
- "Survey of Pennsylvania Privacy and Related Claims Against the Media," *MLRC 50-State Survey: Media Privacy and Related Law*, 2016-2017.
- "Survey of Pennsylvania Privacy and Related Claims Against the Media," *MLRC 50-State Survey: Media Privacy and Related Law*, 2015-2016.
- "Survey of Pennsylvania Privacy and Related Claims Against the Media," *MLRC 50-State Survey: Media Privacy and Related Law*, 2014-2015.
- "Survey of Pennsylvania Privacy and Related Claims Against the Media," *MLRC 50-State Survey: Media Privacy and Related Law*, 2013-2014.
- "Survey of Pennsylvania Privacy and Related Claims Against the Media," *MLRC 50-State Survey: Media Privacy and Related Law*, 2012-2013.
- "Survey of Pennsylvania Privacy and Related Claims Against the Media," *MLRC 50-State Survey: Media Privacy and Related Law*, 2011-2012.
- "Last Stand? The Criminal Responsibility of War Veterans Returning from Iraq and Afghanistan with Posttraumatic Stress Disorder" 85 Ind. L.J.1, 87 (2010).
- "Reviving the 'Vietnam Defense': Posttraumatic Stress Disorder and Criminal Responsibility in a Post-Iraq/Afghanistan World," 29 *Developments in Mental Health Law* 1, 59 (2010).

NEWS & EVENTS

- 21 February 2019, K&L Gates Names 24 New Partners Across Four Continents (*Press Release*)

AREAS OF FOCUS

- Investigations, Enforcement, and White Collar

- Anti-Bribery and Anti-Corruption
- Complex Commercial Litigation and Disputes
- Employment Disputes and Investigations
- Human Resource Advice and Compliance