



Donald W. Smith

Partner

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OVERVIEW

Don Smith concentrates his practice on investment management and mutual fund law. He serves as counsel to registered investment companies and their boards of directors, with a particular focus on bank-affiliated investment companies. In addition to providing regulatory and compliance counseling on routine and complex operational issues, he advises registered investment company and investment adviser clients on the impact of the rapidly changing regulatory landscape. His experience also extends to trust and investment management activities of banks and their affiliates, as well as questions arising from the other securities activities of banking institutions.

PROFESSIONAL / CIVIC ACTIVITIES

- District of Columbia Bar Association

SPEAKING ENGAGEMENTS

- Presenter, Mutual Fund Directors Forum Webinar, "The Department of Labor's New Fiduciary Regulation: Considerations for Mutual Fund Directors," September 20, 2016.

EDUCATION

- J.D., University of Virginia School of Law, 1978
- M.A., University of Virginia, 1969
- B.A., Yale University, 1966

ADMISSIONS

- Bar of District of Columbia

THOUGHT LEADERSHIP POWERED BY HUB

- 20 November 2020, SEC Enforcement Action Cites Bank for Operating Collective Investment Funds as Unregistered Investment Companies: Key Takeaways for Banks and Advisers (*Alerts/Updates*)
- 5 February 2019, Trump Administration Sanctions Venezuela's State-Owned Oil Company PdVSA (*Alerts/Updates*)
- 17 May 2018, United States Ceases Participation in Iran Nuclear Deal and Will Implement Pre-Deal Sanctions Regime – Practical Considerations (*Alerts/Updates*)
- 23 October 2017, Iran Nuclear Deal “Decertified” Although Impact Is Uncertain (*Alerts/Updates*)
- 16 October 2017, Comprehensive Embargo on Sudan Lifted, Although Some Restrictions Remain (*Alerts/Updates*)
- 28 August 2017, Significant New Russia, Iran and North Korea Sanctions Legislation Signed Into Law (*Alerts/Updates*)
- 14 June 2016, The Sun is Setting On Myanmar's Sanctions Regime (*Alerts/Updates*)

AREAS OF FOCUS

- Asset Management and Investment Funds
- International Trade: CFIUS, Sanctions, and Export Controls
- Investment Funds
- U.S. National Security Law and Policy
- U.S. Registered Investment Companies