



Daniel F. C. Crowley

Partner

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OVERVIEW

Dan Crowley is a partner in the firm's Washington, D.C. office. His practice is focused on public policy issues relating to financial services and capital markets, and he leads the firm's global financial services policy practice. In the decade before joining K&L Gates, he led the government relations efforts at the Investment Company Institute, The Nasdaq Stock Market, and the National Association of Securities Dealers. Previously, he served for eight years in the U.S. House of Representatives in increasingly senior staff positions including general counsel, Office of the Speaker. Dan was a President George W. Bush appointee at the John F. Kennedy Center for the Performing Arts. He is nationally ranked for government relations by *Chambers USA* (2015-2020), and he is a member of the Economic Club of Washington, D.C.

PROFESSIONAL BACKGROUND

Dan represents financial services providers, institutional investors, and trade associations on a broad range of policy issues including accounting and financial reporting, broker-dealer and securities trading, commodities and futures, consumer finance and mortgage banking, corporate governance, cyber security, data privacy, debt collection, depository institutions, derivatives and securitization, financial technology, hedge funds, insurance, international trade, investment management, and retirement security and pensions. He leads the firm's global financial services policy practice and facilitates coordination among these practice areas on behalf of the firm's policy clients in Brussels, London, Washington, DC, and other financial policy centers. He also advises clients on campaign finance, government ethics, and congressional investigations

Prior to joining the firm as partner in September 2008, for five years Dan was chief government affairs officer at the Investment Company Institute, the national association of the mutual fund industry. Previously, he was vice president and managing director, Office of Government Relations, the Nasdaq Stock Market, Inc. (NASDAQ). He joined NASDAQ after managing government relations during the spin-off of NASDAQ from its former parent, the National Association of Securities Dealers, Inc. (NASD, n/k/a FINRA). Before joining NASD as vice president, Governmental Affairs, Dan served as general counsel, Office of Speaker Newt Gingrich; general counsel, Committee on House Oversight (Bill Thomas, *Chairman*); and minority counsel, Committee on House Administration (Bill Thomas, *Ranking Member*). From 1995 to 2001, he also was general counsel to the Young Republican National Federation, Inc. Following the historic 1994 election, he served as counsel to the House

Republican Transition Team for personnel and restructuring issues. Crowley began his career as counsel to the Montgomery County delegation to the Maryland State Senate.

PROFESSIONAL / CIVIC ACTIVITIES

- Economic Club of Washington, D.C.
- American Bar Association Business Law Section Federal Regulation of Securities Committee
- Exchequer Club of Washington, D.C.
- Women in Housing & Finance
- Republican National Lawyers Association
- U.S. Army Reserve, Military Police Corps, Honorable Discharge, 1986

SPEAKING ENGAGEMENTS

- The Future of Financial Services Regulation, Webinar, December 17, 2020
- The Election Results: A Look Ahead at the Next Three Months, Webinar, November 6, 2020
- Federal Financial Services Policy Update, Webinar, September 9, 2020
- CARES Act Programs, Webinar, April 29, 2020
- Emerging Markets Update on Cannabis Banking, Boston, MA, February 17, 2020
- Federal Regulatory & Legislative Update, Las Vegas, NV, February 6, 2020.
- Policy Developments Impacting FinTech, Pittsburgh, PA, September 10, 2019
- Business at the Public-Private Intersection, Washington, DC, August 26, 2019
- Federal and State Legislative and Regulatory Update, Sedona, AZ, August 1, 2019
- “Legal Cannabis - The Money Problem,” New York, NY, July 25, 2019
- Policy Development Impacting Receivables Management, Las Vegas, NV, February 6, 2019
- “Taking Stock After the Mid-terms: Policy Developments Affecting the Financial Services Sector,” Washington, DC, December 3, 2018.
- December 2018 Consumer Financial Services Symposium (Washington, DC)
- “Reexamining Dodd-Frank,” Washington, DC, October 18, 2018
- Changes and Challenges at the Consumer Financial Protection Bureau, Fairfax, VA, May 3, 2018
- U.S. Policy and Regulation in the Spotlight, Dublin, Ireland, March 20, 2018

- Clear as Mud - Collection Industry Reform Trends at State and Federal Levels, Las Vegas, NV, February 7, 2018
- Preventing Sexual Harassment in the Congressional Workplace: Examining Reforms to the Congressional Accountability Act, testimony before the Committee on House Administration, December 7, 2017, Washington, DC
- The New World Order Created by Brexit and Trump, New York, NY, November 2, 2017
- Opportunities in the New World Order, New York, NY, March 6, 2017
- What Asset Managers Can Expect from the Trump Presidency, New York, NY, February 28, 2017
- What's Next for U.S. Policy & Regulation, Washington, DC, February 27, 2017
- Where Are We in the CFPB Rulemaking Process?, Las Vegas, NV, February 8, 2017
- Brexit + Trump = Animal Spirits Uncaged?, Webcast, December 20, 2016
- Post-election Market & Policy Outlook, Washington, DC, November 17, 2016
- The Trump Administration; Implications for Financial Services, Norwalk, CT, December 14, 2016
- The Trump Administration Agenda, New York, NY, December 16, 2016
- "The U.S. Presidential Election; Animal Spirits Uncaged?," Washington, D.C., November 15, 2016
- "Implications of Brexit and the U.S. election for asset management," London, England, July 7, 2016
- "Brexit'? Great challenges ahead for both UK and US post-Obama," London, England, March 9, 2016
- "Can Keynes' animal spirits' be tamed? Should they be? If so, at what cost?," Washington, D.C., February 1, 2016
- "Dodd-Frank Turns Five," Washington, D.C., November 17, 2015
- "Global Regulatory Reform; Animal Spirits Caged," Washington, D.C., November 11, 2015

EDUCATION

- J.D., University of Maryland School of Law, 1990
- M.P.M, University of Maryland, 1990
- B.S., University of Maryland, 1986

ADMISSIONS

- Bar of District of Columbia
- Bar of Maryland

THOUGHT LEADERSHIP POWERED BY HUB

- 13 May 2021, SEC to Move Quickly on Proposed ESG Disclosures (*Alerts/Updates*)
- 28 April 2021, European Commission Proposes New Corporate Sustainability Reporting Legislation (*Alerts/Updates*)
- 20 April 2021, Cannabis Banking: The SAFE Banking Act 2.0 Passes the House of Representatives (*Alerts/Updates*)
- 6 April 2021, Cannabis Banking in the U.S. – The Latest on the SAFE Act (*BlogPost*)
- 2 April 2021, Cannabis Banking: The SAFE Banking Act 2.0's Status, Key Modifications, and Prospects (*Alerts/Updates*)
- 17 December 2020, The Future of Financial Services Regulation (*Webinar*)
- December 2020, 2020 K&L Gates Asset Management and Investment Funds Conference (Day 2) (*Webinar*)
- 13 October 2020, Looking Ahead: President Trump's Potential Second Term Policy Agenda (*Alerts/Updates*)
- 16 July 2020, DOL Issues Proposed Rule on ESG Investing for ERISA Plans: Part 1: History and State of Play (*Alerts/Updates*)
- 1 June 2020, COVID-19: The Fed Clarifies Main Street Lending Program Eligibility Criteria; Additional Changes Are on the Way (*Alerts/Updates*)
- 15 May 2020, COVID-19: Legislation Seeks to Establish a Federal Reinsurance Program to Insure Future Pandemic-Related Business Interruption Losses (*Alerts/Updates*)
- 4 May 2020, COVID-19: Federal Reserve Expands the Main Street Lending Program (*Alerts/Updates*)
- 14 April 2020, COVID-19: The Federal Reserve Announces New and Expanded Liquidity Facilities to Provide \$2.3 Trillion in Funding (*Alerts/Updates*)
- 1 April 2020, COVID-19: The Federal Reserve Establishes the FIMA Repo Facility to Maintain Stability of U.S. Dollar-Denominated Wholesale Financial Markets (*Alerts/Updates*)
- 23 March 2020, COVID-19: The Federal Reserve Establishes Three New Liquidity Facilities and Expands the Scope of Two Existing Liquidity Facilities (*Alerts/Updates*)
- 19 March 2020, COVID-19: The Federal Reserve Board Establishes a Money Market Mutual Fund Liquidity Facility (*Alerts/Updates*)
- 18 March 2020, COVID-19 Response: Financial Regulators Seek to Blunt Impact with Emergency Measures (*Alerts/Updates*)
- 8 November 2019, SEC Proposes Rules to Curb Shareholder Proposals, Limit Proxy Voting (*Alerts/Updates*)
- 25 September 2019, In the Weeds: House Moves Toward Opening Banks to Cannabis-Related Legitimate Businesses (*Alerts/Updates*)

- 18 September 2019, The End of Capital Gains As We Know It? Wyden Releases Proposal Profoundly Changing How Capital Gains Are Taxed (*Alerts/Updates*)
- 2 September 2019, Brexit Update (*Alerts/Updates*)
- 18 July 2019, K&L Gates Responds to the Federal Reserve's Rulemaking on Control Proceedings (*Alerts/Updates*)
- 4 April 2019, Legislation Targets Carried Interest
- 8 November 2018, Opening up MEPs: Proposed DOL Rule Expands Multiple Employer Plans, But Legislation Would Go Further (*Alerts/Updates*)
- 14 September 2018, A Decade Later; Could It Happen Again? (*Alerts/Updates*)
- 10 July 2018, Dodd-Frank Reform 2.0 (*Alerts/Updates*)
- 27 June 2018, In the Weeds: Momentum Builds in Congress to Lift Federal Restrictions on Marijuana (*Alerts/Updates*)
- 12 February 2018, Saved by the En Banc: CFPB Appears Here To Stay (*Alerts/Updates*)
- 1 February 2018, FHFA and Senate Proposals Bring GSE Reform Into Sharper Focus (*Alerts/Updates*)
- 16 January 2018, Housing Finance Reform: The Stars Are Aligning (*Alerts/Updates*)
- 12 January 2018, The Dodd-Frank Reform Endgame (*Alerts/Updates*)
- 22 November 2017, Dodd-Frank Reform Efforts Intensify (*BlogPost*)
- 21 November 2017, Dodd-Frank Reform Efforts Intensify (*Alerts/Updates*)
- 30 October 2017, Treasury Reports Continue to Inform Dodd-Frank Reform Efforts (*Alerts/Updates*)
- 6 July 2017, Dodd-Frank Reform; What Comes Next? (*Alerts/Updates*)
- 23 May 2017, Financial Choice Act Is Expected to Move to the House Floor (*Alerts/Updates*)
- 21 April 2017, The Financial CHOICE Act 2.0, Legislative Text Revealed (*Alerts/Updates*)
- 6 April 2017, More Transparency, Better Access, Strong Support to FinTech: a New EU Plan for Retail Finance (*Alerts/Updates*)
- 8 March 2017, Proposed Delay of the DOL Fiduciary Rule - What Should Financial Institutions do? (*Alerts/Updates*)
- 13 January 2017, Paying for the Wall: Will President Trump's Administration Scrutinize, Tax, or Seize Remittances? (*Alerts/Updates*)
- 10 January 2017, Waking Up from a Long Winter's Nap ... the Border Adjustment Tax is the Sleeper Issue in the House Republican Tax Reform Blueprint (*Alerts/Updates*)

- 28 December 2016, Down But Not Out: The CFPB's Future May Be Uncertain, But Industry Participants Must Remain Vigilant (*Alerts/Updates*)
- 1 November 2016, The 2016 U.S. Presidential Election; Brexit West? (*Alerts/Updates*)
- 17 August 2016, Why You Should Care About the House Republican Tax Reform Blueprint (*Alerts/Updates*)
- 22 July 2016, States Move Forward with Auto-IRA Programs: What's Next for the Feds? (*Alerts/Updates*)
- 22 July 2016, Taking on the Retirement Gap: Bipartisan Interest Grows in Open MEPs (*Alerts/Updates*)
- 27 June 2016, The Financial CHOICE Act; Legislative Text Revealed (*Alerts/Updates*)
- 23 June 2016, Details Emerge about the Financial CHOICE Act (*Alerts/Updates*)
- 16 June 2016, The Financial CHOICE Act; Dodd-Frank Reform (Not Repeal) (*Alerts/Updates*)
- 28 April 2016, DOL Finalizes The ERISA Fiduciary Regulation -- What It Means For Your Business (*Alerts/Updates*)
- 17 March 2016, The State of Capitalism in the 21st Century (*Alerts/Updates*)
- 9 March 2016, "Brexit"? Great Challenges Ahead for both UK and US Post-Obama (*Podcast*)
- 09 March 2016, Insight on the Potential Implications of Brexit (*Video*)
- 8 March 2016, Brexit Broker; UK Leader Strikes a Deal (Maybe) (*Alerts/Updates*)
- 24 February 2016, Capital Markets Union: a Marathon not a Sprint (*Alerts/Updates*)
- 18 February 2016, I'm OK, Eur OK; At Long Last, U.S.-EU CCP Recognition is Mutual (*Alerts/Updates*)
- 01 February 2016, The Washington Times Insights Club: Capitalism in the 21st Century (*Video*)
- 6 October 2015, European Commission Publishes Plans for a Capital Markets Union (*Alerts/Updates*)
- 17 August 2015, Dodd-Frank Turns Five, What Comes Next? (*Alerts/Updates*)
- 27 April 2015, DOL Re-Proposes Rule to make Brokers, Others, ERISA Fiduciaries (*Alerts/Updates*)

NEWS & EVENTS

- August 2020, K&L Gates Lawyers Provide Insights on Impact of COVID-19 Across Various Industries (*Media Mention*)
- 7 July 2020, *American Banker*: 10 years after bruising Dodd-Frank fight, is bipartisanship returning? (*Media Mention*)
- 23 April 2020, K&L Gates, Lawyers Recognized in 2020 Chambers USA Guide (*Rankings & Recognitions*)
- 26 April 2019, Chambers USA 2019 Guide Ranks K&L Gates, Lawyers Among Leaders (*Press Release*)

- 23 June 2016, K&L Gates, Lawyers Recognized as Leaders in Chambers USA 2016 Guide (*Press Release*)
- 20 May 2015, Chambers USA 2015 Guide Recognizes K&L Gates, Lawyers as Industry Leaders (*Press Release*)

AREAS OF FOCUS

- Public Policy and Law
- Financial Services Policy
- U.S. Congressional Investigations

INDUSTRIES

- Financial Services
- Fintech