



Lindsay R. Grossman

Associate

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OVERVIEW

Lindsay Grossman is an associate in the firm's Boston office, where she is a member of the asset management and investment funds practice group. She focuses her practice on advising investment managers with respect to regulatory compliance issues and the formation and operation of mutual funds, registered closed-end funds (including interval funds), private funds, and separate accounts. She also regularly counsels investment advisers and broker-dealers on marketing and performance advertising.

PROFESSIONAL BACKGROUND

Prior to joining the firm, Lindsay was a judicial extern for the Honorable Myong J. Joun of the Brighton District Court. She also served as a legal intern in the Administrative Law Division of the Massachusetts Attorney General's Office and as a press intern for Massachusetts Senator John Kerry. Lindsay was a summer associate in the firm's Boston office in 2016.

EDUCATION

- J.D., Boston College Law School, 2017 (*cum laude*, *editor-in-chief*, *Boston College International & Comparative Law Review*)
- B.A., Boston College, 2014

ADMISSIONS

- Bar of Massachusetts

THOUGHT LEADERSHIP POWERED BY HUB

- 20 January 2021, The SEC's Modernized Marketing Rule for Investment Advisers (*Alerts/Updates*)
- 10 April 2020, Closed-End Fund and BDC Offering, Filing and Disclosure Changes: Some Benefits, Some Burdens (*Alerts/Updates*)

- 27 March 2020, COVID-19: Emergency SEC Staff Action Allows Conditional Sale to Affiliates of Portfolio Debt Securities Held by Open-End Funds (*Alerts/Updates*)
- 25 March 2020, COVID-19: Rapid SEC Action on Open-End Fund Borrowing for Liquidity (*Alerts/Updates*)
- 15 August 2018, Saving Trees: SEC Allows Notice & Access Delivery of Shareholder Reports (*Alerts/Updates*)

OTHER PUBLICATIONS

- "Interval Funds have Turned 25 and are Increasingly Popular," *The Review of Securities & Commodities Regulation*, 20 February 2019

AREAS OF FOCUS

- Asset Management and Investment Funds
- Investment Advisers
- Investment Funds
- U.S. Registered Investment Companies