



Michael Harris

Transaction Counsel

Munich

+49.89.321.215.336

Michael.Harris@klgates.com

OVERVIEW

Michael Harris is a senior transaction lawyer in the Munich office of K&L Gates with a strong focus on banking and capital markets supervisory law. He concentrates on a broad range of legal and regulatory issues in the context of classical and structured financial products, investment funds and crypto assets, such as prospectuses, admissions to trading, PRIIPs KIDs, legal due diligence, legal structuring, regulatory compliance and anti-money laundering. In addition, he regularly supports banks, fund managers and investment firms in supervisory procedures, such as licensing or registration procedures, procedures in relation to cross-border business and marketing notifications as well as control of ownership procedures.

PROFESSIONAL BACKGROUND

Michael joined the firm in July 2016. Prior to this, beginning in 2014, he was a senior transaction professional in the Munich office of an English law firm in the area of banking and finance. From 2005 until 2014, Michael worked in the legal and documentation department of a major international bank with a focus on prospectuses and capital markets. He has therefore also built extensive practical knowledge and experience in these areas.

EDUCATION

- LL.M., Saarland University, 2011 (*Commercial Law*)
- Diploma, Ludwig Maximilian University of Munich, 2006 (*Theology*)

LANGUAGES

- English
- German

THOUGHT LEADERSHIP *POWERED BY HUB*

- 11 October 2017, PRIIPs KID – The New Disclosure Document for Manufacturers and Distributors of Funds, Structured Securities and Other Packaged Investment Products
- 26 May 2017, BaFin Restricts CFD Trading in Germany
- 15 November 2016, Reforming the Financial Markets in Germany—Update on the Implementation of MiFID2
- 10 August 2016, Retail Distribution of Credit Linked Notes to Be Banned in Germany

OTHER PUBLICATIONS

- Wendt/Wendt (Hrsg.), PRIIP-Verordnung, §§ 8, 9 and 10, 2021
- Moritz/Helios/Jesch (Hrsg.), Frankfurter Kommentar zum Kapitalanlagerecht, Band 3 - Recht der Assetklassen, Chapter 16, 2019

NEWS & EVENTS

- 15 July 2016, K&L Gates Opens Munich Office

AREAS OF FOCUS

- Asset Management and Investment Funds
- Capital Markets
- Debt Capital Markets
- Derivatives
- European Regulatory
- Exchange-Traded Products
- Global Distribution
- Global Financial Services Regulation
- Institutional Investors
- Investment Advisers
- Investment Funds
- Payments, Banking Regulation, and Consumer Financial Services
- Portfolio Management, Trading, and Compliance

- Public Companies
- Real Estate Funds
- Securities Compliance and Reporting
- UCITS

INDUSTRIES

- Banking
- Digital Assets, Blockchain Technology and Cryptocurrencies
- Financial Services
- Technology