



## Jeffrey B. Maletta

### General Counsel

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## OVERVIEW

Jeffrey Maletta represents public and private companies, broker-dealers, investment companies and their advisors, and individuals in securities and corporate litigation, and in investigations by the Department of Justice and Securities and Exchange Commission involving the federal securities laws and related statutes. Jeffrey also advises law firms and individual attorneys on professional responsibility issues.

## PROFESSIONAL BACKGROUND

Prior to practicing at the firm, Jeffrey served as law clerk to Barrington D. Parker, United States District Judge for the District of Columbia, and in the Office of General Counsel of the Securities and Exchange Commission. Jeffrey is listed in *The Best Lawyers in America* for Litigation - Securities (2015–2020).

## PROFESSIONAL / CIVIC ACTIVITIES

- American Bar Association, Business Law and Litigation Sections, Federal Reg. of Securities Committee
- Adjunct Professor, Georgetown University Law Center, 2006-2016

## EDUCATION

- J.D., Stanford Law School, 1979 (*Member and Senior Editor, Stanford Law Review*)
- B.A., Harvard University, 1975 (*magna cum laude*)

## ADMISSIONS

- Bar of District of Columbia
- Supreme Court of the United States
- United States Court of Appeals for the District of Columbia Circuit

- United States Court of Appeals for the Fifth Circuit
- United States Court of Appeals for the First Circuit
- United States Court of Appeals for the Fourth Circuit
- United States Court of Appeals for the Ninth Circuit
- United States Court of Appeals for the Second Circuit
- United States Court of Appeals for the Sixth Circuit
- United States Court of Appeals for the Tenth Circuit
- United States Court of Appeals for the Third Circuit
- United States Court of Federal Claims
- United States District Court for the District of Columbia
- United States District Court for the District of Maryland
- United States Tax Court

## THOUGHT LEADERSHIP POWERED BY HUB

- 12 May 2015, Department of Justice Issues Guidance on Organizations' Responses to Cyber Incidents

## OTHER PUBLICATIONS

- Co-Author, "Securities Litigation," in *Business and Commercial Litigation in the Federal Courts*, West Group, 3d ed., 2011
- Co-Author, "Litigating SEC Injunctive Actions" and author "Ethical Issues" chapters, *SEC Enforcement Manual*, American Bar Association, 2d ed. 2007
- Co-Author, "Investment Management Newsletter," *K&L Gates publication*, Winter 2007
- Co-Author, "Standards for Professional Conduct" in *Sarbanes-Oxley Act: Planning & Compliance*, Aspen, 2006

## NEWS & EVENTS

- 18 August 2022, More Than 350 K&L Gates Lawyers Named Among 2023 Best Lawyers in America, Ones to Watch
- 19 August 2021, Nearly 300 K&L Gates Lawyers Named Among 2022 Best Lawyers in America, Ones to Watch

## AREAS OF FOCUS

- Securities and Transactional Litigation
- Anti-Bribery and Anti-Corruption
- Commercial Disputes
- White Collar Defense and Investigations
- Securities Enforcement / Financial Regulatory Enforcement