

Dr. Christian Büche

Partner and Notary

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OVERVIEW

Christian Büche is a partner in the firm's Finance practice. He is appointed by the Higher Regional Court of Frankfurt as civil law notary with official seat in Frankfurt am Main. Christian focusses his practice on financing transactions and regulation in the financial services industry. He advises his clients primarily on real estate financings and financings for rolling stock for commercial use and regional rail transport. He is experienced in advising domestic and foreign banks, investment firms, payment service providers, fund managers, servicers and other businesses in the financial services industry on the requirements applicable to their operations in or related to Germany.

As a civil law notary, Christian advises clients, drafts and records deeds in all matters requiring the involvement of a notary in Germany, in particular the incorporation or restructuring of companies, capital increases or the appointment of directors, filings with the commercial register, acquisition or sale of real estate, mortgaging of real estate, ships or airplanes, pledges over shares, succession and estate planning, certifications of signatures or documents.

PROFESSIONAL BACKGROUND

Christian joined the firm in July 2010. From 2004 to 2010, he worked with international and national law firms in Düsseldorf and Frankfurt.

ACHIEVEMENTS

Notary Exam, 2018

SPEAKING ENGAGEMENTS

Christian regularly lectures banking and finance law at the EBS University of Business and Law and speaks on conferences, for instance:

 K&L Gates Investment Management Conference "Wiesn Forum", Munich, September 24, 2019, on the amendment to derivatives regulation under EMIR Refit

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- K&L Gates Thirteenth Investment Management Conference, London, September 27, 2018, on disclosure of target market information under MiFID II in Germany under the Target-Market Standard-DE Template, challenges for UCITS in providing PRIIPs KIDs, Prospects of Mutual Recognition of UCITS funds post-Brexit
- Europalnstitut at the University of Zurich, Zurich, May 31, 2018, on access of third-country investment firms to the EU and, in particular, Germany under MiFID II, MiFIR and their implementation in Germany
- K&L Gates Twelvth Investment Management Conference, London, December 12, 2017, on access of third country investment firms to Germany, conduct of business rules and available exemptions
- K&L Gates Eleventh Investment Management Conference, London, July 6, 2016, on product rules for loan originating funds, update on voting rights transparency and upcoming passporting regime for non-EU AIFMs
- K&L Gates Tenth Investment Management Conference, London, June 2015, on access of third-country investment firms to the EU under MiFID II and MiFIR and update on reverse solicitation
- Webinar on Accessing Investment in China: A Global Perspective on the RQFII Program, December 11, 2014
- Webinar on EU-US financial services: "Regulatory Disharmony And the Way Forward," Washington, D.C., October 21, 2014, on the banking union in Europe and the effects of the Single Supervisory Mechanism and the Single Resolution Mechanism
- K&L Gates Ninth Investment Management Conference, London, July 2014, on the regulation of algorithmic and high-frequency trading in Germany under the High-Frequency Trading Act and under MiFID II
- Webcast on Raising Fund Assets in the Global Markets—Focus on Europe, Asia, Australia, and the Middle East, Boston, May 29, 2014
- EBS University of Business and Law, Wiesbaden, January-March 2014, lecturing on credit financing and consumer protection
- Institute for Law and Finance of Goethe University Frankfurt, February 2014, on the regulation of over-thecounter derivatives transactions under the European Markets and Infrastructure Regulation ("EMIR")
- K&L Gates Eighth Investment Management Conference 2013, London, July 2, 2013, on the implementation of the Alternative Investment Fund Managers Directive ("AIFMD") in Germany and private placements of and other types of marketing of funds into Germany following the implementation of AIFMD

EDUCATION

- Dr. iur., University of Augsburg, 2005
- Second Legal State Exam, Higher Regional Court of Munich, 2003
- First Legal State Exam, University of Augsburg, 2001

LANGUAGES

- English
- French
- German
- Spanish

THOUGHT LEADERSHIP POWERED BY HUB

- 2 September 2019, Brexit Update
- 13 December 2017, Materials from the 2017 London Investment Management Conference
- 15 November 2016, Reforming the Financial Markets in Germany—Update on the Implementation of MiFID2
- 24 February 2016, Capital Markets Union: a Marathon not a Sprint
- 16 October 2015, Germany Tightens Voting Rights Disclosure Regime
- 6 October 2015, European Commission Publishes Plans for a Capital Markets Union

OTHER PUBLICATIONS

- "Financial Regulation in the Euro Zone: Update on the Banking Union", Global Government Solutions® 2016, Mid-Year Outlook, July 2016
- "EU Capital Markets Union: Filling the Empty Box", Global Government Solutions 2015, Annual Outlook, April 2015 (together with Daniel F. C. Crowley, Philip Torbol, Ignasi Guardans, Sean Donovan-Smith, Mélanie Bruneau, Karishma Shah Page, Andés Gil)
- Alert, "A Buttonwood Tree Sprouts in Europe: What the EU's Proposed Capital Markets Union Means for You", February 26, 2015 (together with Daniel F. C. Crowley, Bart Gordon, Andrés Gil, Mélanie Bruneau, Sean Donovan-Smith, Ignasi Guardans)
- "Regulation and Taxation of Alternative Investment Funds in Germany—Changes and Developments," Global Government Solutions 2014, Annual Outlook, February 2014 (with Rainer Schmitt)
- Newsletter on the financial crisis: "Enhanced Financial Markets Stabilization Act and Bad Banks," May 18,
- Newsletter on the Financial Crisis: "Financial Markets Stabilization Act," October 14, 2008
- Newsletter on the effect of the Risk Limitation Act ("Risikobegrenzungsgesetz") on Non-Performing Loan Transactions, August 2008

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- Newsletter on Recent Developments in Non-Performing Loan Transactions (value-added taxes, data protection, Risikobegrenzungsgesetz), December 2007
- "Ad-hoc publicity as a vital component to an integrated market", doctoral thesis, 2005, Baden-Baden

NEWS & EVENTS

- 6 January 2020, K&L Gates Advises KKR on Sale of European Locomotive Leasing
- 20 November 2018, K&L Gates is Advising ZEAL Network on Lotto24 Exchange Offer
- 6 June 2016, K&L Gates Advises European Locomotive Leasing on Follow-up Financing with International Bank Consortium

AREAS OF FOCUS

- Corporate and Acquisition Finance
- **Derivatives**
- **European Regulatory**
- Financial Services Policy
- Fintech Lending
- Real Estate Finance
- Restructuring and Insolvency

INDUSTRIES

- **Financial Services**
- Fintech

REPRESENTATIVE EXPERIENCE

- Advising a financial institution on the financing of 27 Alstom rail vehicles for operation by DB Regio AG on the local rail passenger transport network "S-Bahn Nürnberg."
- Advising a regional state bank on the financing of 26 rail vehicles produced by the Polish manufacturer PESA for operation by DB Regio AG on the local rail passenger transport network "Dieselnetz Allgäu."
- Advising private equity investor KKR on the foundation of European Locomotive Leasing (ELL) GmbH & Co. KG and on the syndicated loan financing with an international consortium of banks for 100 locomotives.
- Advising an investment firm on the financings and refinancing of several office buildings.