



## Theresa Tezengi

### Lawyer

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### OVERVIEW

Theresa Tezengi is a commercial and regulatory lawyer with a focus on the financial services industry.

Theresa advises clients on a range of regulatory and compliance issues relevant to financial advisers, superannuation fund trustees, wholesale and retail fund managers, and other financial services entities.

### PROFESSIONAL BACKGROUND

Theresa joined K&L Gates after gaining regulatory experience within the financial services industry.

### PROFESSIONAL / CIVIC ACTIVITIES

- Law Institute of Victoria member
- Victoria Women Lawyers committee member

### EDUCATION

- Victorian Legal Practicing Certificate, Victorian Legal Services Board, 2019
- Graduate Diploma in Legal Practice, College of Law, Victoria, 2016
- LL.B., Victoria University, 2015
- Diploma of Advanced Negotiation and Mediation, Humboldt University of Berlin, 2014
- B.Sc., University of Melbourne, 2012

### ADMISSIONS

- Supreme Court of Victoria

## AREAS OF FOCUS

- Asset Management and Investment Funds
- Global Distribution
- Global Financial Services Regulation
- Hedge Funds
- Institutional Investors
- Investment Advisers
- Investment Funds
- Portfolio Management, Trading, and Compliance
- Private Equity Funds
- Real Estate Funds

## REPRESENTATIVE EXPERIENCE

- Investigating and advising on potential breaches by financial services entities and their representatives.
- Assisting with Australian Financial Services licence applications and variations.
- Assisting with providing advice on FASEA's Code of Ethics and the statutory obligations under the Future of Financial Advice reforms.
- Assisting with reviewing and advising superannuation funds in regard to regulatory compliance.